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15 UNITED STATES DISTRICT COURT  
16 EASTERN DISTRICT OF CALIFORNIA

17 U.S. EQUAL EMPLOYMENT  
18 OPPORTUNITY COMMISSION,  
19 Plaintiff,

20 vs.

21 PAPE MATERIAL HANDLING, INC.,  
22 AND DOES 1-10,  
23 Defendant.

Case No: 1:17-cv-01291-AWI-BAM

~~PROPOSED~~ CONSENT  
DECREE

The Honorable Anthony W. Ishii  
Senior United States District Judge

24 Plaintiff U.S. Equal Employment Opportunity Commission (the "EEOC"  
25 or "Commission") and Defendant Pape Material Handling, Inc. (hereinafter  
26 "Defendant") agree to the entry of this Consent Decree to resolve the EEOC's  
27 Complaint, filed under Title VII of the Civil Rights Act, as amended, 42 U.S.C.  
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1 section 2000e et seq. ("Title VII"). The EEOC's complaint alleges that Marin  
2 Ayala and a class of other adversely Hispanic and/or Mexican employees  
3 (collectively hereinafter "Claimants") were discriminated against by Defendant at  
4 Defendant's location at 3732 S. Bagely Ave., Fresno, California 93725  
5 ("Defendant's Fresno Facility"). Specifically, the complaint alleges that the  
6 Claimants were subjected to harassment on the basis of their national origin,  
7 Hispanic and/or Mexican, in violation of Title VII at Defendant's Fresno Facility.  
8 In further violation of Title VII, the complaint alleges that some of the Claimants  
9 were constructively discharge as a result of the harassment at Defendant's Fresno  
10 Facility. Defendant denies any wrongdoing as alleged in the complaint.

11 **I. PURPOSES AND SCOPE OF THE CONSENT DECREE**

12 A. The Parties to this Consent Decree ("Decree") are the EEOC and  
13 Defendant (collectively, the "Parties"). This Decree shall be binding on and  
14 enforceable against Defendant, and its parents, subsidiaries, officers, directors,  
15 agents, successors, and assigns.

16 B. The Parties have entered into this Decree for the following purposes:  
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18 1. To provide the appropriate monetary and injunctive relief;  
19 2. To ensure that Defendant's employment practices comply with  
20 federal law;  
21 3. To ensure a work environment free from discrimination;  
22 4. To modify Defendant's policies, procedures, and practices  
23 regarding employment discrimination;  
24 5. To ensure training for Defendant's employees with  
25 respect to the pertinent laws against race-based  
26 discrimination/harassment, disparate treatment on the basis of  
27 race, and any subsequent retaliation in the workplace;  
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- 6. To provide an appropriate and effective mechanism for handling complaints of race-based discrimination/harassment, disparate treatment, and any subsequent retaliation;
- 7. To ensure effective record-keeping procedures; and
- 8. To avoid expensive and protracted costs incident to this litigation.

**II. RELEASE OF CLAIMS**

A. This Decree fully and completely resolves between the EEOC and Defendant all claims that are raised by the EEOC against Defendant in the Complaint originally filed in the United States District Court, Eastern District of California on September 27, 2017, now captioned U.S. Equal Employment Opportunity Commission v. Pape Material Handling, Case No. 1:17-cv-01291-AWI-BAM, (the "Complaint").

B. Nothing in this Decree shall be construed to preclude any party from bringing suit to enforce this Decree in the event that any party fails to perform the promises and representations contained here.

C. Nothing in this Decree shall be construed to limit or reduce Defendant's obligation to comply fully with Title VII and any other federal employment statute.

D. This Decree in no way affects the EEOC's right to bring, process, investigate, or litigate other charges that may be in existence or may later arise against Defendant in accordance with standard EEOC procedures. This Decree shall in no way hinder or affect an individual's right to file a charge with the EEOC or applicable state agency, participate in a federal or state investigation, or the EEOC's investigation and determinations into such charges.

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**III. JURISDICTION**

A. This Court has jurisdiction over the Parties and the subject matter of this Action. The Action asserts claims that, if proven, would authorize the Court to grant the equitable relief set forth in this Decree.

B. The terms and provisions of this Decree are fair, reasonable, and just.

C. This Decree conforms with the Federal Rules of Civil Procedure and Title VII and is not in derogation of the rights or privileges of any person.

D. The Court shall retain jurisdiction of this Action during the duration of the Decree for the purposes of entering any order, judgment, or decree that may be necessary to implement the relief provided here.

**IV. EFFECTIVE DATE AND DURATION OF DECREE**

A. The provisions and agreements contained herein are effective immediately upon the date which this Decree is entered by the Court ("the Effective Date").

B. Except as otherwise provided herein, the Decree shall remain in effect for three (3) years after the Effective Date. However, if Defendant complies with all of the terms of this Decree, this Decree will sunset six (6) months before the expiration described in the preceding sentence if the EEOC decides so based on the EEO Monitor's recommendation to the Commission that the additional six (6) months is not necessary and that the Defendant has achieved measurable results to invoke the sunset provision. Although the EEOC's decision to invoke the sunset provision will not be arbitrarily withheld, the EEOC has sole discretion to decide on whether the Decree can sunset in two and a half (2.5) years. The EEO Monitor shall submit a report to the EEOC addressing whether the Decree should sunset 30 days prior to the two and a half years of the Decree's Effective Date as set forth above. The Report shall also provide whether all the intended changes were accomplished to justify the Decree to sunset or whether additional time is needed

1 to achieve the results intended under the Decree. Defendant shall make available  
2 information sought by the Monitor to make the assessment.

3 **V. MODIFICATION AND SEVERABILITY**

4 A. This Decree constitutes the complete understanding of the Parties with  
5 respect to the matters contained herein. No waiver, modification, or amendment of  
6 any provision of this Decree will be effective unless made in writing and signed by  
7 an authorized representative of each of the Parties.

8 B. If one or more provisions of the Decree are rendered unlawful or  
9 unenforceable, the Parties shall make good faith efforts to agree upon appropriate  
10 amendments to this Decree to effectuate the purposes of the Decree. In any event,  
11 the remaining provisions will remain in full force and effect unless the purposes of  
12 the Decree cannot, despite the Parties' best efforts, be achieved.

13 C. By mutual agreement of the Parties, this Decree may be amended or  
14 modified in a writing signing by all Parties in the interests of justice and fairness to  
15 effectuate the provisions of the Decree.  
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17 **VI. COMPLIANCE AND DISPUTE RESOLUTION**

18 A. The Parties agree that if the EEOC has reason to believe that  
19 Defendant has failed to comply with any provision of this Decree, the EEOC may  
20 bring an action before this Court to enforce the Decree. Prior to initiating such  
21 petition or action, the EEOC will notify Defendant's legal counsel of record, in  
22 writing, of the nature of the dispute. This notice shall specify the particular  
23 provision(s) that the EEOC believes has/have been breached. Defendant shall have  
24 twenty-one (21) days from receipt of EEOC's written notice of the alleged breach  
25 to attempt to resolve or cure the breach. However, the Parties may agree to extend  
26 this period upon mutual consent.  
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1           B.    The Parties agree to cooperate with each other and use their best  
2 efforts to resolve any dispute referenced in the EEOC's written notice required by  
3 Section VI(A) of this Decree.

4           C.    After thirty (30) days, inclusive of the twenty-one (21) days to resolve  
5 or cure the breach referenced in Section VI(A), have passed from the written notice  
6 with no resolution or agreement to extend the time further, the EEOC may petition  
7 this Court for resolution of the dispute. The EEOC may seek all available relief,  
8 including, but not limited to, an extension of the terms of the Decree for such  
9 period of time the Court finds the Decree was not complied with and/or any other  
10 relief that the Court may deem appropriate.

11           D.    In the event Defendant fails to make any payment in accordance with  
12 Section VII below, the above-noted dispute resolution process shall not apply.  
13 Rather, if the EEOC has reason to believe that Defendant has failed to comply with  
14 any payment described in Section VII below, the EEOC may bring an action  
15 before this Court to enforce the Decree. Prior to initiating such action, the EEOC  
16 will notify Defendant and its legal counsel of record, in writing, of the non-  
17 payment. Defendant shall have ten (10) days from the EEOC's written notice to  
18 cure the breach. If the breach has not been cured within ten (10) days of receipt of  
19 the EEOC's written notice, the EEOC may petition this Court for resolution,  
20 seeking all available relief and/or any other relief the Court may deem appropriate.

22           E.    In the event of exigent circumstances, the EEOC may petition the  
23 Court for resolution of the dispute without adhering to the provision described in  
24 Section. However, even in the event of exigent circumstances, the EEOC will  
25 make a reasonable and diligent effort to resolve the issue directly with Defendant  
26 prior to petitioning the Court for relief.

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**VII. MONETARY RELIEF**

A. In settlement of this lawsuit, Defendant agrees to pay a total gross sum of Six-Hundred Fifty-Thousand Dollars and Zero Cents (\$650,000.00) (referred to herein as the "Gross Settlement Sum"). The Gross Settlement Sum is inclusive of all monetary relief to be paid to Claimants and is inclusive of all damages, costs, and attorney's fees incurred by any Claimant, and/or the EEOC in connection with any of the claims asserted in, or related to, the Complaint. For those Claimants who have asserted constructive discharge claims, a portion, which will be determined by the EEOC, will be allocated as back-pay damages. Designation, characterization, and/or allocation of the monetary relief to the Claimants shall be at the sole discretion of the EEOC.

B. The EEOC shall inform Defendant the distribution of the monetary relief among the Claimants, including an amount of back pay damages, if applicable. Within twenty-one (21) days after being informed of the allocation, Defendant shall forward payment to the Claimants via first class mail.

C. Defendant shall prepare and distribute Form 1099 or equivalent tax reporting forms to Claimants identified by the EEOC and shall make the appropriate reports to the Internal Revenue Service and other tax authorities.

D. Within seven (7) business days of the issuance of the settlement checks, Defendant shall submit a copy of each check and related correspondence to Anna Y. Park, Regional Attorney, U.S. Equal Employment Opportunity Commission, 255 East Temple Street, 4th Floor, Los Angeles, California, 90012.

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**VIII. CLAIMANT SPECIFIC INJUNCTIVE RELIEF**

Within twenty-one (21) days of the Effective Date of this Decree, Defendant shall:

A. Remove from Claimants' personnel file(s) all references to the charges of discrimination filed against Defendant or his/her participation in this action.

1           B. To the extent that Defendant must keep records of the charge of  
2 discrimination or each Claimants' involvement in the Action in order to effectuate  
3 this Decree, maintain said records separately and segregated from Claimants'  
4 personnel files.

5           C. Refrain from providing negative references and limit employment  
6 reference inquiries related to Claimants to verifying whether the identified  
7 Claimant was employed by Defendant, the Claimant's rates of pay, and the time  
8 period of such employment.

9                                   **IX. GENERAL INJUNCTIVE RELIEF**

10           A. Anti-Racial Harassment

11           Defendant, its officers, agents, management (including all supervisory  
12 employees and lead employees), successors, assigns, and all those in active concert  
13 or participation with them, or any of them, are hereby enjoined from: (1) subjecting  
14 individuals to harassment based on their race; (2) engaging in or being a party to  
15 any action, policy or practice that is intended or is known to them to have the effect  
16 of subjecting any employee to disparate treatment on the basis of race; and (3)  
17 creating, facilitating or permitting the existence of a work environment that is  
18 hostile to Hispanic or Mexican employees.  
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20           B. Anti-Retaliation

21           Defendant, its officers, agents, management (including all supervisory  
22 employees and lead employees), successors, assigns, and all those in active concert  
23 or participation with them, or any of them, hereby are enjoined from engaging in,  
24 implementing or permitting any action, policy or practice with the purpose of  
25 retaliating against any current or former employee or applicant of Defendant, or  
26 either of them, because he or she has in the past, or during the term of this Decree:  
27 (1) opposed any practice made unlawful under Title VII; (2) filed a charge of  
28 discrimination alleging such practice; (3) testified or participated in any manner in

1 any investigation (including without limitation, any internal investigation  
2 undertaken by Defendant), proceeding in connection with this case and/or relating  
3 to any claim of a Title VII violation; (4) was identified as a possible witness or  
4 claimant in this action; (5) asserted any rights under this Decree; or (6) sought or  
5 received any relief in accordance with this Decree.

6 **X. SPECIFIC INJUNCTIVE RELIEF**

7 **A. Equal Employment Opportunity Consultant**

8 Within sixty (60) days after the Effective Date, Defendant shall retain an  
9 Equal Employment Opportunity Consultant ("Monitor") with demonstrated  
10 experience in the area of employment discrimination to implement and monitor  
11 Defendant's compliance with Title VII and the provisions of this Decree. The  
12 Monitor shall be subject to the EEOC's approval, which shall not be unreasonably  
13 withheld. If the EEOC does not approve Defendant's proposed Monitor, the  
14 EEOC shall provide Defendant with a list of at least three suggested candidates  
15 acceptable to the EEOC. Defendant shall bear all costs associated with the  
16 selection and retention of the Monitor and the performance of his/her/its duties.  
17 Should the Monitor be unable or unwilling to continue as a Monitor for the  
18 duration of the Decree, the procedures set forth in this Section shall also apply to  
19 the selection of a new Monitor.  
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21 The Monitor's responsibilities shall include the following:

- 22 1. Reviewing and revising Defendant's policies and procedures,  
23 including its complaint procedures, relating to anti-discrimination, anti-  
24 harassment, and anti-retaliation, to ensure that it fully complies with Title  
25 VII and the requirements set forth in this Decree;
- 26 2. Ensuring Defendant maintains policies and procedures that effectively  
27 carry out its obligations under Title VII and this Decree;  
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- 1 3. Ensuring that all employees are trained on their rights and  
2 responsibilities under Title VII, including but not limited to Defendant's  
3 responsibilities and policies regarding anti-harassment and anti-retaliation;
- 4 4. Ensuring that all employees are trained on how to complain regarding  
5 harassment and retaliation as well as monitoring Defendant's investigation  
6 into such information;
- 7 5. Ensuring that all employees are trained on Defendant's policies and  
8 procedures relating anti-discrimination, anti-harassment, and anti-retaliation  
9 and ensuring that all of Defendant's managerial and human resources  
10 employees are trained on policies and procedures relating to race-based  
11 discrimination, harassment, and retaliation;
- 12 6. Ensuring Defendant has procedures in place to promptly and  
13 effectively handle complaints of harassment and retaliation;
- 14 7. Monitoring and assuring the adequate investigation of all complaints  
15 of race-based discrimination, harassment, and/or retaliation, including:
  - 16 i. Ensure that investigations are conducted in an effective  
17 manner;
  - 18 ii. Ensure that Defendant properly communicates with  
19 complainants regarding the complaint procedure, status  
20 of the investigation, results of the investigation, and any  
21 remedial action taken;
  - 22 iii. Ensure that Defendant adequately monitors the  
23 workplace after complaints to ensure no retaliatory  
24 actions are taken against the complainant;
  - 25 iv. The Monitor will provide a prompt assessment to  
26 Defendant of the handling of their investigations into  
27 complaints arising out of Defendant's Fresno Facility and  
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provide recommendations, if necessary, on how investigations may be more effective.

v. Subsequently, the Monitor shall report to the EEOC an overall assessment of Defendant's investigations and any remedial measures enacted to ensure more effective investigations.

8. Assisting Defendant in creating a centralized system of tracking discrimination, harassment, and retaliation complaints;

9. Reviewing complaints of discrimination, harassment or retaliation made to Defendant to ensure that Defendant is acting appropriately in following through with complaints of discrimination, harassment and/or retaliation;

10. Ensuring that all reports required by this Decree are accurately compiled and timely submitted;

11. Ensuring that Defendant's performance and discipline policies hold employees and managers accountable for, as applicable, failing to take appropriate action regarding complaints of discrimination, harassment or retaliation, or for engaging in conduct prohibited under Title VII or this Decree;

12. Conducting, monitoring and/or reviewing the audits as described below;

13. Measuring how quickly investigations are being conducted and how effective are such investigations;

14. Assessing and measuring if employees are understanding the equal employment opportunity training(s) (as required below) and effectiveness of such training(s); and

1 15. Ensuring compliance with the terms of this Decree.

2 B. EEO Audits

3 1. Defendant shall conduct audits at Defendant's Fresno location. The  
4 purpose of the audits are to assist the parties to determine the impact and  
5 effectiveness of the remedies sought herein. Specifically, the audits are to  
6 determine whether the changes in polices, the training, and investigations are  
7 effective and have changed the environment to address the issues identified by the  
8 EEOC. In addition, the audits shall be conducted to determine the issues in the  
9 workplace. The audits shall occur after the final policies and complaint procedures  
10 are distributed to the employees and after the initial training at those locations to  
11 ensure that the policies and procedures have been received by the employees and to  
12 ensure compliance with the anti-harassment and anti-retaliation policies and  
13 procedures. The audits shall seek information regarding whether the employee has  
14 experienced or witnessed racial harassment or retaliation during their employment  
15 with Defendant and to assess whether the Defendant have effectively addressed the  
16 issues in this case. The audits shall instruct the employees to return their responses  
17 directly to Defendant's human resources in a pre-addressed stamped envelope to be  
18 provided with the audits. Annual audits shall be conducted to continue to assess  
19 the progress by the Defendant. The EEO Monitor shall have unhindered access to  
20 the information provided in the audits.

22 2. Any audit responses that identify recent racial harassment or  
23 retaliation issues shall be promptly investigated by Defendant consistent with the  
24 requirements of this Decree.

25 3. To the extent the audit identifies any particular area where there are  
26 multiple allegations of racial harassment and/or retaliation, the EEO Monitor may  
27 direct Defendant to provide additional training and/or to conduct additional audits  
28 at that particular area.

1           4.     In addition as part of the audits, Defendant shall track whether the  
2 alleged harasser(s) are engaging in harassment and/or retaliation and whether  
3 preventative and corrective measures are taken.

4           5.     A summary of the results of the audits (including but not limited to  
5 the tracking of whether the alleged harasser(s) are engaging in harassment and/or  
6 retaliation and whether preventative and corrective measures were taken) shall be  
7 submitted to the EEO Monitor and the EEOC as feedback and as part of the report  
8 as set forth below.

9           C.     Policies and Procedures

10           Within thirty (30) days of the Effective Date, Defendant, with the assistance  
11 of its Monitor, shall review and, if necessary, revise, implement and distribute  
12 company-wide its policies against and complaint procedures regarding  
13 employment discrimination, harassment, and retaliation prohibited by Title VII  
14 (the "Policy").

15           1.     Revision of Policy

16           The revised Policy shall include:

17           a.     A clear statement regarding Defendant's commitment to  
18 prevent discrimination, harassment, and retaliation;

19           b.     a clear explanation of prohibited conduct under the Policy,  
20 including an explanation that harassment and discrimination on the basis of  
21 race, color, national origin, sex, age, disability, creed/religion, or any other  
22 protected class, and retaliation is prohibited with a particular emphasis that  
23 Defendant will not tolerate any incidents racial harassment or retaliation;

24           c.     an assurance that employees who make complaints of  
25 discrimination and/or harassment or provide information related to such  
26 complaints will be protected from retaliation;  
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1 d. a clearly described complaint process that provides accessible  
2 and confidential avenues for complaints, including information regarding an  
3 internal and external person whom employees may report incidents of  
4 discrimination and retaliation;

5 e. an assurance that Defendant will protect the confidentiality of  
6 complainants to the extent possible from being disclosed to those persons  
7 who do not need to know;

8 f. assurances that the complaint process will include a prompt,  
9 thorough, and impartial investigation;

10 g. a procedure for communicating with the complainant regarding  
11 the status of the complaint/investigation, results of the investigation, and any  
12 remedial action taken;

13 h. a requirement that any employee in a supervisory position who  
14 receives a complaint, whether formal or informal, written or verbal, shall  
15 report such complaint to the human resources department within the next  
16 business day or within 48 hours of receiving said complaint;

17 i. an assurance that Defendant's disciplinary policies hold  
18 employees, managers, supervisors and human resources accountable for  
19 failing to take appropriate action or for engaging in conduct prohibited under  
20 this Decree;  
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22 j. assurance that Defendant will take immediate and appropriate  
23 corrective action if it determines that discrimination, harassment and/or any  
24 retaliation has occurred; and

25 k. designation of at least one human resources employee to  
26 receive and process all racial/race-based discrimination, harassment, and  
27 retaliation complaints.  
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1           2.     Procedure for Tracking Complaints

2           Defendant further agrees that the Policy described above shall describe the  
3 process by which Defendant's employees will handle complaints of discrimination.  
4 The Policy shall at minimum provide that (1) upon a complaint by an employee or  
5 any other form of notice, the employee receiving the complaint shall inform their  
6 immediate supervisor and/or Human Resources; (2) Human Resources shall  
7 conduct a prompt and thorough investigation of all complaints, including but not  
8 limited to interviewing the complaining party, the alleged perpetrator, and all  
9 available or identifiable witnesses; (3) Human Resources and Defendant's  
10 employees shall take all reasonable steps to maintain confidentiality; (4) at the  
11 conclusion of the investigation, Defendant shall take all appropriate steps to  
12 remedy and prevent future incidents of discrimination, harassment, and retaliation;  
13 (5) Human Resources shall communicate the findings of the investigation to the  
14 complaining party; and (6) Defendant shall monitor the work place subsequent to  
15 the investigation to ensure that no retaliatory acts have been taken against the  
16 complaining party. Defendant further agrees to implement, with the assistance of  
17 the Monitor, a centralized system for tracking, gathering, and retaining  
18 discrimination, harassment, and retaliation complaints.  
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20           D.     Distribution of Policy

21           1.     Within forty-five (45) days of the Effective Date, Defendant shall  
22 provide to the EEOC a copy of the revised Policy. Within sixty (60) days of the  
23 Effective Date and annually thereafter, Defendant shall ensure that it has  
24 distributed the Policy to all employees in a language the employee understands.  
25 Within seventy-five (75) days of the Effective Date, Defendant shall submit to the  
26 EEOC a statement confirming the distribution of the Policy.

27           Within thirty (30) days of the hire date of any person hired after the initial  
28 distribution but within the term of the Decree, Defendant shall ensure that it has

1 distributed the Policy to that employee in a language the employee understands.  
2 On an annual basis for the duration of the Decree, Defendant shall submit to the  
3 EEOC a statement confirming the distribution of the Policy to any person hired  
4 after the initial distribution but within the term of the Decree.

5 Within sixty (60) days of the Effective Date, Defendant shall ensure that it  
6 has physically posted the Policy in legible font. The Policy shall be posted in a  
7 conspicuous place at Defendant's Fresno Facility in an area accessible to all  
8 employees. Within seventy-five (75) days of the Effective Date, Defendant shall  
9 submit to the EEOC a statement confirming the posting of the Policy.

10 E. Performance Evaluations

11 Within sixty (60) days of the Effective Date, Defendant shall create a  
12 provision in the annual performance evaluation of its managers, supervisors, and  
13 human resources personnel to hold individuals accountable for complying with  
14 EEO laws and following Defendant's policies and procedures as it relates to  
15 reporting incidents of harassment/discrimination, or retaliation, or for engaging in  
16 harassment/discrimination or retaliation. Defendant shall also report to the  
17 Monitor individuals who work(ed) at Defendant's Fresno Facility who have been  
18 held accountable for not following this provision.

20 F. Posting of Notice of Consent Decree and Settlement

21 Within ten (10) days after the Effective Date and for the duration of the  
22 Decree, Defendant shall ensure that it has posted the Notice of Consent Decree and  
23 Settlement (attached to this Decree as Attachment A) in a conspicuous place  
24 accessible at Defendant's Fresno Facility. Within thirty (30) days of the Effective  
25 Date and annually thereafter, Defendant shall submit to the EEOC a statement  
26 confirming the posting of the Notice of Consent Decree and Settlement.

27 G. Equal Employment Opportunity Training

28 1. Training for All Employees

1           Within sixty (60) days after the Effective Date and annually thereafter,  
2 Defendant, with the assistance of the Consultant, if necessary, shall provide to its  
3 non-managerial employees at Defendant's Fresno Facility, training(s) of at least  
4 one (1) hour in duration about its policy against and complaint procedure for  
5 discrimination, harassment, and retaliation, and federal laws regarding employment  
6 discrimination with a particular emphasis on racial discrimination/harassment and  
7 retaliation. This training shall be in person live and have interactive components.

8           Where an employee is unable to attend the scheduled training, Defendant  
9 shall provide a live or module training at an alternative session within sixty (60)  
10 days of the original training. All persons required to attend such training shall  
11 verify their attendance in writing.

12           Within sixty (60) days of the hire date of any non-managerial employee  
13 hired at Defendant's Fresno Facility after the annual training but within the term of  
14 the Decree, Defendant shall provide a live or module training of at least one hour  
15 duration. The training shall be in a language employees understand and shall cover  
16 the Policy, Defendant's complaint process, and federal laws regarding  
17 employment discrimination with a particular emphasis on race and national origin  
18 discrimination. All persons required to attend such training shall verify their  
19 attendance in writing.

20           2.    Managerial and Supervisor Training

21           Within ninety (90) days of the Effective Date and annually thereafter,  
22 Defendant shall provide an additional training to its managers and/or supervisors at  
23 Defendant's Fresno Facility. The training shall be at least two (2) hours in  
24 duration. The live or module training must include interactive training and shall  
25 cover: manager's obligations and responsibilities under Defendant's Policy,  
26 Defendant's complaint process and procedures, procedures and steps supervisors  
27 and managers shall take in responding to incidents of discrimination or retaliation  
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1 which they become aware of, and federal laws regarding employment  
2 discrimination. The training of managerial employees shall additionally include  
3 training on how to receive, investigate, or report to designated officials complaints  
4 of discrimination, harassment, and retaliation; and how to take preventive and  
5 corrective measures against discrimination, harassment and any subsequent  
6 retaliation. The training of managerial employees shall also instruct managers of  
7 their responsibility to promptly inform the human resources department of all  
8 complaints, and instruct managers on the prohibition against retaliation. Any  
9 managerial employees who received this training in the twelve-month period  
10 before the Effective Date shall be relieved of this requirement, and instead shall be  
11 provided with such training within twelve months of their prior training and  
12 annually thereafter.

13 Where an employee is unable to attend the scheduled training, Defendant  
14 shall provide a live or module training at an alternative session within ninety (90)  
15 days of the original training. All persons required to attend such training shall  
16 verify their attendance in writing.

17 Within ninety (90) days of the hire date of any manager and/or supervisor  
18 hired after the annual training, Defendant shall provide a live or module training of  
19 at least two hours duration. The training shall cover the Policy, Defendant's  
20 complaint process, and federal laws regarding employment discrimination with a  
21 particular emphasis on racial discrimination/harassment and retaliation. Where a  
22 manager, supervisor, or human resource personnel misses or is unable to attend the  
23 scheduled training, Defendant shall provide the live or module training at an  
24 alternative session within ninety (90) days of the training. All persons required to  
25 attend such training shall verify their attendance in writing.

26 3. Human Resource Training

27 Within ninety (90) days of the Effective Date and annually thereafter,  
28 Defendant shall provide an additional training to its hiring officials and/or human

1 resources personnel company-wide. The training shall be at least two (2) hours in  
2 duration. The live or module training must include interactive training and shall  
3 cover: hiring officials and/or human resources personnel's obligations and  
4 responsibilities under Defendant's Policy, Defendant's complaint process and  
5 procedures, procedures and steps hiring officials and/or human resources personnel  
6 shall take in responding to incidents of discrimination or retaliation which they  
7 become aware of, and federal laws regarding employment discrimination. The  
8 training of hiring officials and/or human resources personnel shall additionally  
9 include training on how to receive and investigate complaints of discrimination,  
10 harassment, and retaliation; and how to take preventive and corrective measures  
11 against discrimination, harassment and any subsequent retaliation. Any hiring  
12 officials and/or human resources personnel who received this training in the  
13 twelve-month period before the Effective Date shall be relieved of this  
14 requirement, and instead shall be provided with such training within twelve months  
15 of their prior training and annually thereafter.

16 Where an employee is unable to attend the scheduled training, Defendant  
17 shall provide a live or module training at an alternative session within ninety (90)  
18 days of the original training. All persons required to attend such training shall  
19 verify their attendance in writing.

20 Within ninety (90) days of the hire date of any hiring official and/or human  
21 resources personnel/compliance staff member hired after the annual training,  
22 Defendant shall provide a live or module training of at least two hours duration.  
23 The training shall cover the Policy, Defendant's complaint process, and federal  
24 laws regarding employment discrimination with a particular emphasis on racial  
25 discrimination/harassment and retaliation. Where a hiring official and/or human  
26 resource personnel misses or is unable to attend the scheduled training, Defendant  
27 shall provide the live or module training at an alternative session within ninety (90)

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1 days of the training. All persons required to attend such training shall verify their  
2 attendance in writing.

3 4. Verification of Training

4 The EEOC shall have the right to attend the trainings described in the  
5 Decree and to review training materials. Thirty (30) days prior to any training,  
6 Defendant shall provide written notice to EEOC including the time, location, name  
7 and contact information of the trainer. The written notice shall be sent via U.S.  
8 Mail to the attention of Anna Y. Park, Regional Attorney, U.S. Equal Employment  
9 Opportunity Commission, 255 East Temple Street, 4th Floor, Los Angeles,  
10 California, 90012.

11 Thirty (30) days prior to the occurrence of any trainings mentioned above,  
12 Defendant shall submit all training materials for the EEOC's review of the training  
13 materials and Defendant shall work with the EEOC to effectuate any modifications  
14 proposed by the EEOC to the training materials.

15 Within ninety (90) days of the Effective Date and annually thereafter,  
16 Defendant shall produce to the EEOC documents verifying the occurrence of all  
17 training sessions conducted as required under this Decree, including the written  
18 training materials used, a description of the training provided, a list of the  
19 individuals who conducted the training, and a list of the names and job titles of  
20 attendees at each training session.

21 **XI. RECORD KEEPING AND REPORTING**

22 A. Record Keeping

23 Within thirty (30) days of the Effective Date, Defendant shall work with the  
24 Monitor to establish a record-keeping procedure that provides for the centralized  
25 tracking of complaints in about racial / race-based discrimination, harassment, and  
26 retaliation. The records to be maintained shall include:

- 27 1. All documents generated in connection with Defendant's  
28 compliance with the Decree;

1 2. All documents generated in connection with any complaint,  
2 investigation into, or resolution of every complaint of discrimination,  
3 harassment, and retaliation for the duration of the Decree and the  
4 identities of the parties involved;

5 3. All forms acknowledging each employee's receipt of  
6 Defendant's revised policy and complaint procedure against  
7 discrimination, harassment, and retaliation; and

8 4. A list of the dates of the training required under this Decree that  
9 shows the names and positions of all attendees for each one.

10 Defendant shall also track records of the complaint to enable the Monitor to  
11 identify any repeat offenders and supervisors who repeatedly fail to prevent and  
12 correct discrimination or retaliation.

13 Defendant shall provide to the Commission upon request all documents  
14 generated in connection with any Title VII complaint, investigations, and  
15 resolutions. The Commission shall give Defendant 30 days-notice of any request  
16 for documents pursuant to this paragraph.

17  
18 **B. Reporting**

19 Defendant through its Monitor shall prepare a semi-annual report on  
20 Defendant's progress and its compliance under this Decree. Additionally,  
21 Defendant through its Monitor shall provide to the EEOC the following semi-  
22 annual reports (with the last reporting one month before the end of the Consent  
23 Decree) for the term of the Decree:

24 1. verification that the Notice of Consent Decree (Attachment A) has  
25 been posted in compliance with the Consent Decree;

26 2. its policy against and complaint procedure for discrimination,  
27 harassment, and retaliation after consultation with the EEO Monitor in compliance  
28 with the Consent Decree;

- 1           3.     verification that its current or revised policy and complaint procedure
- 2 for discrimination, harassment, and retaliation have been posted in compliance
- 3 with the Consent Decree;
- 4           4.     verification of the distribution to Defendant's employees of its policy
- 5 against and complaint procedure for discrimination, harassment, and retaliation in
- 6 compliance with the Consent Decree; and the employee's acknowledgments of the
- 7 receipt of the policy and complaint procedure;
- 8           5.     a copy of Defendant's training materials and schedule of training;
- 9           6.     verification that all applicable managerial and non-managerial
- 10 employees have been trained in compliance with the Consent Decree;
- 11           7.     the signed acknowledgments of the employees who have been trained
- 12 in compliance with the Consent Decree;
- 13           8.     verification that a record keeping system has been established for the
- 14 tracking of discrimination, harassment complaints, the employees'
- 15 acknowledgment of the receipt of Defendant's policy and complaint procedure for
- 16 discrimination, harassment, and retaliation; and for the attendance /completion of
- 17 all of its employees in training concerning its policy against and complaint
- 18 procedure for discrimination, harassment, and/or retaliation in compliance with the
- 19 Consent Decree; and
- 20           9.     a summary report of their investigation into any complaint about
- 21 discrimination, harassment, and/or retaliation for complaining about discrimination
- 22 and/or harassment at Defendant's Fresno Facility. The investigation report shall
- 23 include the following for each complaint during the reporting period:
- 24                   (1) the name and title of the complaining party(ies);
- 25                   (2) the date of the complaint;
- 26                   (3) the name and title of the alleged harasser(s);
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- (4) the name and title of the person(s) who conducted the investigation into the complaint;
- (5) the nature of the complaint;
- (6) the date of the commencement and completion of the investigation;
- (7) a brief description of the investigation (i.e. number of persons interviewed, materials reviewed);
- (8) the outcome of the investigation and any action taken; and;
- (9) whether previous discrimination and/or harassment complaints had been made regarding the alleged harasser(s). If so, the report should also include the outcome of the prior investigations.

10. Report of the audits set forth above (including but not limited to the tracking of whether the alleged harasser(s) are engaging in harassment and/or retaliation and whether preventative and corrective measures were taken).

All reports under this Paragraph shall be directed to: U.S. Equal Employment Opportunity Commission, Attn. Regional Attorney, 255 E. Temple Street, 4th Floor, Los Angeles, CA 90012.

Within thirty (30) days of receipt of Defendant's Report, the EEOC may request in writing for additional information and/or the investigative file of the complaints and investigation reported in the Report. Within thirty (30) days of the receipt of the EEOC's request for additional information and/or the investigative file(s), Defendant is to provide the requested information and investigative file(s).

**XII. MISCELLANEOUS PROVISIONS**

A. During the term of this Consent Decree, Defendant shall provide any potential successor-in-interest or prospective purchaser with a copy of this Decree within a reasonable time of not less than thirty (30) days prior to the execution of any agreement for acquisition or assumption of control of Defendant. Defendant

1 shall simultaneously inform the EEOC of any such agreement for acquisition or  
2 assumption of control.

3 B. During the term of this Decree, Defendant shall assure that each of its  
4 affiliates, officers, managers and supervisors is aware of any term(s) of this Decree  
5 which may be related to his/her job duties.

6 C. Unless otherwise stated, all notices, reports and correspondence  
7 required under this Decree shall be delivered to the attention of the Regional  
8 Attorney, Anna Y. Park, U.S. Equal Employment Opportunity Commission, Los  
9 Angeles District Office, 255 E. Temple St., 4th Fl., Los Angeles, CA. 90012;  
10 facsimile number (213) 894-1301.

11 D. This Decree may be signed in counterparts. A facsimile signature  
12 shall have the same force and effect of an original signature or copy thereof.

13 **XIII. COSTS AND ATTORNEYS' FEES**

14 Defendant shall bear all costs associated with its administration and  
15 implementation of its obligations under this Decree, including but not limited to  
16 the distribution of the settlement money as well as any costs associated with the  
17 Monitor. Each party shall bear its own costs of suit and attorneys' fees.

18 All Parties, through the undersigned, respectfully apply for and consent to  
19 the entry of this Consent Decree Order.  
20

21 Respectfully Submitted,

22 U.S. EQUAL EMPLOYMENT  
23 OPPORTUNITY COMMISSION  
24 Anna Y. Park

25  
26 Date: 4/24/19

27 By:   
28 Anna Y. Park  
Attorney for Plaintiff EEOC

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Date: May 9, 2019

Littler Mendelson, P.C.

By: Ryan Edging  
Attorney for Defendant  
Pape Material Handling, Inc.

Date: May 8, 2019

By: JC Jordan Ryan  
On Behalf of  
Pape Material Handling, Inc.

~~PROPOSED~~ ORDER


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**GOOD CAUSE APPEARING:**

The Court hereby finds that compliance with all provisions of the foregoing Decree is fair and adequate. The Court hereby retains jurisdiction for the term of the foregoing Consent Decree, and the provisions thereof are hereby approved.

**IT IS SO ORDERED.**

DATED: 5/13/19

  
\_\_\_\_\_  
The Honorable Anthony W. Ishii,  
United States District Judge

**ATTACHMENT A**

**ATTACHMENT A**



**U.S. EQUAL EMPLOYMENT OPPORTUNITY COMMISSION**  
**Los Angeles District Office**

255 E Temple Street, 4<sup>th</sup> Floor  
Los Angeles, CA 90012  
(213) 894-1000  
TTY (213) 894-1121  
FAX (213) 894-1118

**NOTICE OF SETTLEMENT AND CONSENT DECREE**

**TO: ALL EMPLOYEES**

The U.S. Equal Employment Opportunity Commission ("EEOC") filed a lawsuit in the United States District Court for the Eastern District of California against Pape Material Handling, Inc. ("Pape"), Case Number 1:17-cv-01291-AWI-BAM. EEOC filed this lawsuit alleging that Hispanic and/or Mexican employees were subjected to harassment. The EEOC and Pape settled the case by entering into a "Consent Decree" in which provides monetary relief to specific employees identified by the EEOC as well as various types of injunctive remedies including ensuring that policies and procedures against harassment conform to Title VII; hiring an outside monitor to assist with compliance with Title VII; training employees regarding harassment; creating a centralized system of tracking complaints; and providing EEOC with periodic reporting regarding the injunctive remedies. Pape did not admit to any wrongdoing and no Court found that Pape violated any law.

Under the Consent Decree, employees are being given notice that any violations of Pape's policies against harassment and discrimination will be thoroughly investigated. Individuals found to have violated that policy will be subject to discipline up to and including termination of employment. All employees will undergo training to correct and prevent unlawful harassment and discrimination towards employees.

Federal law prohibits harassment or discrimination against any employee or applicant for employment because of a person's age, disability, race, sex, color, religion or national origin, with respect to hiring, compensation, promotions, discharge, terms and conditions or privileges of employment. Federal law also prohibits retaliation for those who oppose or resist harassment or discrimination or participate in investigations regarding complaints of discrimination.

Pape is committed to complying with federal anti-discrimination laws in all respects. Harassment or discrimination will not be tolerated. Any employee who files a complaint or formal charge of discrimination, gives testimony or assistance, or participation in any manner in any investigation will be protected from retaliation.

If you believe that you have been harassed, discriminated against because of your sex, national origin, age, race, color, religion, or disability, you may follow Pape's procedures by contacting your supervisor and/or Human Resources at (541) 334-3453 and/or you may seek assistance from:

**U.S. EQUAL EMPLOYMENT OPPORTUNITY COMMISSION**  
255 East Temple Street, 4<sup>th</sup> Floor  
Los Angeles, CA 90012  
TELEPHONE NUMBER: (213) 894-1000