Percolation Scheduling: A Parallel Compilation Technique

Alexandru Nicolau
TR 85-678
May 1985

Department of Computer Science
Cornell University
Ithaca, NY 14853
Percolation Scheduling: A Parallel Compilation Technique

Alexandru Nicolau

Computer Science Department
Cornell University
Ithaca, NY 14853

Abstract

Percolation Scheduling (PS) is a new technique for compiling programs into parallel code. It attempts to overcome problems that limit the effectiveness and applicability of currently available techniques.

PS globally rearranges code past basic block boundaries. Its core is a small set of simple, primitive program transformations defined in terms of adjacent nodes in a program graph. These transformations constitute the lowest level in a system of transformations and guidance rules. Higher levels of this hierarchy control and enhance the applicability of the core transformations and enable us to exploit both fine grained and coarse parallelism.

Unlike other, more ad hoc approaches, PS is based on rigorous definitions of the computational model and of the core transformations. The correctness and termination of the transformations is proven here. The completeness of the transformations is also discussed. As a result our implementation, which is now underway, can proceed on a sound basis. In particular, PS enjoys greater adaptability and independence between the levels than would be possible otherwise.

This paper describes PS in detail. The correctness aspects as well as illustrations of the effectiveness of our techniques are presented. Architectures which may benefit from the use of PS are also discussed.
1 Introduction

The production of efficient, parallel, code from high-level languages has been of major importance ever since parallel computation became practical. Efforts in this domain have spread in two complementary directions. The first seeks to exploit parallelism by designing new algorithms suited for parallel execution, often tailored to a particular type of architecture (e.g., systolic algorithms [14]). This approach is best suited for problems that can be naturally partitioned into a set of essentially identical subproblems that can run independently. The second approach involves compile-time transformation of a given program for efficient execution on a specific parallel architecture. While this usually does not change the asymptotic complexity of the original program, it can dramatically speed it up. Thus, the success of such compilation techniques is important both for automatically mapping parallel algorithms onto specific hardware, and for the efficient solution of problems that do not lend themselves well to partitioning. Even for problems for which parallel algorithms can be found, the algorithm design can be extremely tedious. For example it took years before researchers noticed a small transformation that makes LU decomposition suitable for vectorization, even though the problem was the subject of much attention.

Existing compilers for parallel machines do not provide the needed support. Code is still hand-optimized when speed is critical (e.g. [5]). While important advances in the parallelization of ordinary code (especially vectorization) have been achieved [9], [13], [2], there is still a lack of satisfactory tools to automatically extract fine and coarse grained parallelism in a unified fashion. The goal of Percolation Scheduling is to provide such tools.

Percolation Scheduling (PS) is a new technique for compiling programs into parallel code. It developed from our experience with Trace Scheduling[8] in the ELI project at Yale [6], [16], [17]. Trace Scheduling was proposed as a global compaction technique for generating horizontal microcode [8]. In Trace Scheduling, directed acyclic paths (traces) through the original (sequential) code are selected and compacted as if they were basic blocks (sequence of program statements such that if the first statement gets executed, all the other get executed as well). Compensation code is inserted at jumps into and out of the trace to restore the correctness of the compacted code. Then a disjoint trace is selected and the process repeats. Traces are selected based on educated guesses of the execution frequency of the trace. This
information is obtained through sample runs on “typical data” or is user supplied. The main limitations on the effectiveness of Trace Scheduling are its restriction to fine-grained parallelism, its inflexibility (the transformations are monolithic and dependent on the success of a single heuristic—trace selection) and its localized nature (only very fine-grained code is compacted, one trace at a time, and traces cannot be combined).

PS globally rearranges code past basic block boundaries in an attempt to gain parallelism. Its core is a small set of primitive program transformations defining the allowable motions of operations between adjacent nodes in a parallel program graph. A parallel program graph is a directed graph in which each node contains one or more operations that can be executed in parallel. The edges in the program graph determine the execution-paths in the program. The goal of PS is, then, to maximize parallelism by moving operations from node to node so as to maximize the number of parallel operations in the final graph. A precise definition of the execution semantics is found in section 3. These transformations are easy to understand and implement. Furthermore, they are atomic and can be combined with a variety of guidance rules to direct the optimization process. Above this core level are guidance rules and transformations which extend the applicability of the core transformations and exploit coarser parallelism.

Guided by the higher level rules and transformations, the core transformations operate uniformly on an entire program graph. They can also be applied to partially parallelized code. This allows modification of code produced by other types of compilers. In addition, these transformations are themselves highly parallel and could be run on a parallel machine, significantly reducing compilation time.

The following is an outline of the layers of the PS system and their function:

**Core Level** This level contains a set of four core transformations defined in terms of adjacent nodes in a program graph. Together these transformations perform all code motions in our system.

**Support Level** At this level we have analysis methods (e.g., Memory Disambiguation [16]) and standard optimizations (e.g., Dead-Code Removal). They provide accurate data-dependency information and thus enhance the applicability of the core transformations.

**Guidance Level** This level consists of rules that direct the application of the core trans-
formations to achieve effective optimization of the code in acceptable time and space. This contrasts with Trace Scheduling where a single rule (for trace picking) is inseparable from the actual transformation mechanism. This limits Trace Scheduling and makes it too rigid for our goals.

**Enabling Level** This level consists of transformations that allow the core transformations to process arbitrary graphs and enables them to exploit coarser grained parallelism (e.g., within nested loops).

**Meta Level** This level consists of transformations that use the core transformations to exploit coarse parallelism (e.g., partial loop/module overlapping).

The separation of levels yields more general, cleaner transformations. It simplifies both the understanding and the implementation of a PS compiler. Furthermore, the applicability of the transformations is enhanced, and it is easier to experiment with various high level transformations without affecting the correctness of the compiler.

## 2 Architectures

Several existing architectures could benefit from the use of Percolation Scheduling. Even non-parallel architectures such as lookahead (pipelined) machines could benefit by simply using the large numbers of sequential operations clustered together by percolation scheduling to efficiently fill long pipelines. Furthermore, since the compiler could do most of the work, there would be little need for hardware synchronization mechanisms and pipe flushing customarily used\(^1\). Hardware to handle multiple conditional jumps would improve the benefits of Percolation Scheduling for such machines. The design of such an architecture and its advantages are described in [19].

Also suited to take advantage of percolation scheduling are data-flow architectures. Traditionally it has been claimed that such architectures will require very little compile-time effort. However, from a pragmatic point of view, this lack of compile-time effort will impose a very heavy burden in terms of communication and synchronization costs, and may lead to extremely inefficient use of memory and resources [10]. We believe that Percolation Scheduling...

---

\(^1\)Such hardware features can be cumbersome and expensive since they create hot spots which need to work faster than the rest of the hardware and operate asynchronously.
ing could be put to good use in this context. PS can provide a partial ordering for the issuing of operations and a reasonable partitioning of the program and data between the various processors. This could significantly reduce runtime communication and synchronization needs as well as the lengths of queues of waiting operations. Furthermore the atomic nature of the core transformations and their independence makes PS attractive for both compilation for and running on data-flow machines.

Multiprocessors could also benefit from the use of percolation scheduling techniques. Here, too, simple hardware support for parallel conditional jump evaluation could help to take advantage of the parallelism exposed by PS.

3 The Model

Informally, we start with a program graph, in which each node represents one or more operations. The execution of such a program graph begins at a start node and proceeds one node at a time. The goal of PS then, is to transform the original program graph into another program graph which, while semantically equivalent to the original one, is more “parallel”, i.e. the execution of the new graph traverses fewer nodes. This is achieved by the movement of operations from node to node, subject to data (and control) dependencies.

In this section we present the basic model of parallel computation that we use. A precise description of this model is necessary for the development and accurate statement of the transformations and for their proofs of correctness.

3.1 Preliminary Definitions and Notation

The following is a rigorous definition of a parallel program graph. Illustrations are found in figure 1.

A node in a parallel program graph is a three-tuple of the form \( n \equiv < O_n, T_n, NExt_n > \). \( O_n \) is a set of operations, \( O_n \equiv \{ o_1, \ldots \} \), such as assignments, additions, etc. Each operation \( o_i \) contains a set of written variables, a set of read variables and an operator. \( T_n \) is a set of conditional-jumps (c\( j \)’s), i.e., \( T_n \equiv \{ c_{j_1}, \ldots \} \). Each conditional-jump, \( c_{j_i} \), is a three-tuple \( c_{j_i} \equiv < test_{i}, Tnext_{i}, Fnext_{i} > \). The \( test_{i} \) element of a \( c_j \) is a test on a set of read variables
(tests do not have side effects) and an optional negation operator. The fields $T_{next_i}$ and $F_{next_i}$ point to the control-flow followers ($c_j$'s in $T_n$, or other nodes) of $c_j$. $T_{next_i}$ and $F_{next_i}$ correspond to the evaluation of the test $t_i$ to true or false respectively. The last field of a node, $Next_n$, represents the unconditional continuation of that node and may point to another node $m$. If $T_n = \emptyset$, $Next_n$ determines the continuation of $n$; otherwise $Next_n$ is ignored and the continuation is determined by the evaluation of $T_n$. Members of $O_n$ and $T_n$ are referred to as components of $n$.

The $c_j$'s in $T_n$ are constrained to implicitly define a binary tree inside node $n$ as follows. There exists exactly one tuple, $c_{j_1}$, called the root, such that no $c_{j_i}$ in $T_n$ contains a field $F_{next_i} = c_{j_i}$ or a field $T_{next_i} = c_{j_i}$ (i.e. no $c_j$ in $n$ points to $c_{j_1}$). For all other $c_{j_i}$'s in $T_n$ there is at least one $c_{j_j}$ in $T_n$ such that $F_{next_j} = c_{j_1}$ or $T_{next_j} = c_{j_1}$, but no cycles are allowed (by definition). Any fields of $c_j$'s in $T_n$ not involved in forming the tree point to other program-graph nodes (i.e. point to outside $n$); these fields are the continuations of $T_n$. If the size of $T_n$ is $k$, there are at most $k + 1$ pointers from $T_n$ to other nodes—when $T_n$ is a balanced binary tree. The reasons for the structure of $T_n$ and its semantics will become clear when we discuss execution semantics and the core transformations.

We will refer to the set of successors of $n$ as continuations of $n$ and denote this set by $\ast next_n$. This set is defined to be $\ast next_n = \{Next_n\}$ if $T_n = \emptyset$. Otherwise, $\ast next_n$ is the set of continuations of $T_n$.

Given a set of nodes $N$, the union of the $\ast next$ sets in $N$ implicitly defines the edges of a (parallel) program graph, $P = (N, E)$. Each element $n$ in the set $\ast next_m$ of node $m$ defines an edge $e = (m, n)$ in $E$, where $m$ is the tail and $n$ the head of the edge. A path between two nodes $n$ and $v$ is the sequence of nodes and intervening edges explicitly denoted by $n, (n, l), l, (l, m), ..., (u, v), v$. If unambiguous, the shorthand notation $n \rightarrow v$ will be used.

A simple parallel program graph and its graphic representation are shown in figure 1.

We are now in a position to describe the execution semantics of a program graph. This is needed to understand the rationale for the dependency definitions and the primitive transformations.

---

2Strictly speaking, some of the transformations may produce a DAG of conditional-jumps. However, for simplicity of exposition and implementation we can always transform such a DAG into a binary tree.
n1: \(<X[a] := 1; a := a + 1; \text{tst} := a \leq 30; \text{tst-xa} := a < 10\), nil, n2>

n2: \(<\text{nil}, \{\text{cj1: if tst-xa then n3 else cj2: if tst then nil else n1\}, nil}\>

n3: \(<\{X[a] := 10\}, \{\text{if tst then nil else n1\}, \text{nil}\}>

Figure 1: Sample program graph. (a) Program Graph. (b) Graphic representation.

### 3.2 Execution Semantics

A program graph, \(P\), starts execution at a start-node (a node with no incoming edges) and proceeds one node at a time, until an \(\emptyset\)-continuation is reached. The execution of a node, \(n\), consists of the evaluation of all \(o \in O_n\) and \(tests \in T_n\). The order of evaluation of the components of \(n\) is immaterial as long as all reads of a variable in \(n\) precede the writing of that same variable in \(n\). This may appear as a strange constraint. However it is consistent with the way standard memories handle reads and writes of the same location in the same cycle. The model simply reflects this feature, allowing in the same node the simultaneous execution of reads and a single write of the same location.

The next node to be executed, denoted \(\text{continuation}(n)\), is chosen to be the node obtained by the evaluation of the \(tests\) in \(T_n\) and the resulting decisions \((false \Rightarrow F_{next}, true \Rightarrow T_{next})\). If \(T_n = \emptyset\), then \(\text{continuation}(n) = Next_n\).

Some explanation of the semantics of conditional-jump execution is in order. A conditional-jump can (by definition) have 2 branches, which in our case are represented by \(T_{next}\) and \(F_{next}\). When the \(n_i\) only contains one \(cj\) (i.e. a simple conditional-jump), the above semantics fit normal program execution semantics on a standard von-Neuman machine. However, \(n\) independent \(cj\)'s in a node would in general have \(2n\) possible continuations, whereas our model only allows for \(n + 1\) (see definition of \(T_n\)). To fully utilize the evaluation of \(n\) independent conditional jumps in parallel, execution would have to be able to continue execution...
on the resulting $n$ mutually independent paths in parallel, or alternatively would require a selection between $2^n$ paths. However $cj$'s at this level of granularity are not independent. If, in particular, they all share a common path, (e.g. like in a case statement), a simple and effective scheme can be devised for their practical parallel resolution. Such a scheme was sketched in [7] and a more general design, allowing DAG's of conditional-jumps, was described in [19]. The key idea is that $n$ $cj$'s sharing a path or forming a decision-DAG have at most $n + 1$ mutually exclusive branches. Since this sort of parallel evaluation is likely to be useful and is quite cheap to implement in hardware (see [19]), we have biased our model and transformations towards such parallel evaluation. This bias can be easily lifted. In fact, the meta-level in our hierarchy will extend the notion of a node to deal with independent $cj$'s at granularity levels where this may be useful.

3.3 Dependencies

We will now define dependencies between nodes and between components of nodes. These dependencies are used to restrict the core transformations to semantics-preserving code motions.

A dependency between two adjacent nodes, $m$ and $n$, is said to exist when any one of the following holds:

$D_{wr}$. Write-Before-Read : A component of $m$ writes a variable read by a component of $n$. This is a standard data-dependency.

$D_{wl}$. Write-Live : There exists $(m, z) \in E$ such that variables live-on $(m, z)$ are written by a component of $n$. A variable is said to be live-on $(m, z)$ if there exists a path through $(m, z)$ on which the variable is used (read) before being written. For a rigorous definition of live and reaching see [1].

$D_{wa}$. Write-After-Read : There exists components $c$ and $c'$ in the same node $n$ such that $c$ reads variables written by $c'$. For uniformity, this is also defined to be a dependency between $n$ and any adjacent node $m$.  

The dependency is really a non-strict dependency between $c$ and $c'$, forcing $c$ not to precede $c'$ on any path through $m$ and $n$. In the original program graph $c$ must have occurred after $c'$. Otherwise, if $c$ had occurred before $c'$, dependency $D_{wa}$ would have prevented $c'$ from being in the same node as $c$. Since we are interested in code movement, the definition emphasizes that $c$ cannot move to $m$. 

8
We will denote dependencies between two nodes by \( m \prec n \), or \( m \overset{z}{\prec} n \) when we wish to emphasize that a particular dependency, \( D_z \), between \( m \) and \( n \). The components creating the dependency between the nodes are also said to be dependent. Since the distinction between component and node dependencies is clear in context, we will use the same notation for both.

4 Core Transformations

The four primitive transformations of this level are the core of PS. They operate on adjacent nodes in a program graph. Repeatedly applying the transformations allows components to "percolate" (i.e. move forward) from the various parts of the program graph towards the start node, hence the name Percolation Scheduling.

A node in the program graph can be removed by the Delete transformation when the node has no components. The Move-op and Move-cj transformations move simple operations and conditional-jumps respectively, between two adjacent nodes. The Unify transformation unifies identical operations occurring in multiple neighbors of a node. The details of the transformations deal with maintaining the integrity of all paths affected by the transformations. A rigorous definition of the transformations is given below. The changes to nodes in \( N \) are given explicitly. The changes to the set of edges \( E \) are implied by the changes to \( N \).

**Def:** A copy, \( n' \), of a node \( n \) is a new node with the same components as \( n \). All continuations not pointing to \( n \) are the same as in \( n \), while continuations of \( n' \) pointing to \( n \) are changed to point to \( n' \).

4.1 Delete Transformation

Nodes without any components may occur as a result of the other transformations or as part of the original program graph. Since they do not affect the execution semantics of the program in any way, such nodes may be deleted, provided the continuations of their predecessors are set accordingly.

**Preconditions:**
(a) A node \( n = [\{\}, [], \text{Next}_n] \). That is, \( n \) has no components.

The Transformation:

1. For each \( x \in N \), each element \( e \) of \( \ast\text{next}_x \) that points to \( n \) is changed to point to \( \text{Next}_n \).
2. \( N := N - \{n\} \).

An illustration is given in figure 2.

4.2 Move-op Transformation

This transformation moves an operation component from node \( n \) to node \( m \), through edge \((m, n)\), provided no dependency exists between \( m \) and the component being moved. In performing the movement, care must be taken not to affect paths passing only through \( n \) but not through \( m \).

Preconditions:

(a) An edge \((m, n)\) exists.

(b) Node \( n \) has the form \(<\{o,\ldots\},[\ldots],\text{Next}_n\>\). That is, \( O_n \) has at least one component.

(c) \( m \neq o \).

(d) \( n \neq m \).

The Transformation:

1. \( n' := \text{copy}(n) \).

2. For each \( x \in N \) such that \( x \neq m \) and \( x \neq n \), change each element \( e \) of \( \ast\text{next}_x \) that points
to \( n \) so it points to \( n' \).

3. \( O_m := O_m \cup \{o\}; \quad O_n := O_n - \{o\}. \)

4. \( N := N \cup \{n'\}. \)

An illustration is given in figure 3.

### 4.3 Move-cj Transformation

This transformation moves a conditional-jump component from node \( n \) to node \( m \) through an edge \((m, n)\), provided that no dependency exists between \( m \) and the component being moved. In performing the movement, care must be taken not to affect paths passing only through \( n \) but not through \( m \). In addition, since the component may come from an arbitrary spot in the binary tree \( T_n \), \( n \) will be split in order to maintain the execution semantics of paths through \((m, n)\).

**Preconditions:**

(a) An edge \((m, n)\) exists.

(b) \( n \) has the form \(<\ldots,[\ldots c\ldots], \text{Next}_n>\) That is, \( T_n \) has at least one component.

(c) \( m \neq c \).

(d) \( m \neq n \).

If \( c \) has a parent in the tree \( T_n \), call that parent \( p \). Assume that \( \text{Next}_p = c \) (if \( \text{Next}_p = c \), we can always negate \( \text{test}_p \) and swap). Let \( S_{\text{Next}} \) be the set of nodes in the subtree of \( T_n \) pointed to by \( \text{Next}_c \) and \( S_{\text{Next}} \) the set of nodes in the subtree of \( T_n \) pointed to by \( \text{Next}_c \).
If no such subtree(s) exist (i.e. if c points to other nodes), let $S_{T_{next}} = S_{F_{next}} = \emptyset$.

The Transformation:

1. $n' := \text{copy}(n)$.
2. For each node $z \in N$ such that $m \neq z$ change each element $e$ of $\ast \text{next}_z$ that points to $n$ to point to $n'$.
3. Change $n$ to be $n = \langle O_n, T_n - \{c\} - S_{T_{next}}, F_{next_c} \rangle$; If $p$ exists, then set $T_{next_p} = F_{next_c}$.
4. Let $n''$, a new node, be $n'' = \langle O_n, T_n - \{c\} - S_{F_{next}}, T_{next_c} \rangle$; If $p$ exists, then set $T_{next_p} = T_{next_c}$.
5. $T_m := T_m \cup \{c\}; Next_m := \emptyset$. Any $cj \in T_m$ with a field pointing to $n$ is changed to point to $c$.
6. $T_{next_c} := n''; F_{next_c} := n$.
7. $N := N \cup \{n', n''\}$.

An illustration is given in figure 4.

4.4 Unification Transformation

This transformation moves a unique copy of identical operations from a set of nodes $S = \{n_0, n_1, n_2, \ldots\}$, to a predecessor node $m$. This is done only when no dependency exists between $m$ and the component being moved and when paths $(m, n_i)$ exist for all nodes in $S$. In performing the code motion, care must be taken not to affect paths going only through
the \( n_i \)'s but not through \( m \).

**Preconditions:**

(a) There exists an edge \((m, n_0) \in E\).

(b) For all \( n_i \in S \), there exists a path from \( m \) to \( n_i \).

(c) The chosen operation \( o \in O_{n_0} \), has as its unique dependency \( m \prec o \).

(d) All the components on paths through \( m \) that read variables written by \( o \) are identical copies of \( o \), denoted \( o' \).

The Transformation:

1. For \( o \in n_0 \): apply the Move-op transformation, moving \( o \) from \( n_0 \) to \( m \).

2. For other \( n_i \in S \): remove \( o' \) as in the Move-op transformation, but do not place it in \( m \) (just remove it).

An illustration is given in figure 5.

5 The Correctness of the Core Transformations

There are three separate issues that we have to address. First, we will discuss **completeness**. That is, given our model, we want to know whether our core transformations can achieve all possible code motions. Next we will show that the core transformations of Percolation Scheduling are **semantically correct**. That is, given the same input, the original and transformed program graphs, executed according to our model's execution semantics, will produce

\footnote{For efficiency we may wish to restrict the transformation to cases where all \( n_i \in S \) are adjacent to \( m \).}
the same output. Finally we will show that the process of applying the transformations terminates. That is, given any input program graph, after a finite number of applications of the transformations we will obtain a program graph to which no more core transformations can apply.

5.1 Completeness

Informally, the core transformations we have defined are weakly complete. That is, given the ability to backtrack they can achieve all possible code motions based on local information—i.e., information available at the adjacent nodes or as a result of flow-analysis. We assume that backtracking or backward movement from the original program graph are not allowed. Enhancement transformations such as loop invariant and dead code removal, code hoisting, etc. are orthogonal to this discussion. They will, in fact, be performed by the higher levels of PS, as will other traditional optimizations.

In this context, backward-movement (away from the start node) transformations cannot achieve better results than our core transformations. They are either incorrect (if they move an operation which ought to be on a path out of that path, violating the execution semantics of that path\(^5\)), or are undoing a forward transformation (i.e. backtracking).\(^6\) Thus we only need consider forward-movement transformations.

The Delete transformation just garbage-collects. it is irrelevant in this context. It could be viewed as the cleanup phase of the other core transformations.

The Move-op and Unify transformations cover all local code-motions of operations (subject to dependencies).

All local code-motions of conditional-jumps (subject to dependencies) are covered by the Move-cj transformation.

The effect of moving compound nodes (as a whole) can be achieved by the composition of core node motions.

\(^5\)Assuming that the operation was performing a useful function at that point; if it didn’t, it would have been removed by dead code removal.

\(^6\)Backtracking is expensive and a practical system will most likely restrict it, sacrificing completeness. This argument just ensures that backward movement does not produce desirable effects other than nondeterministic choice.
We could strengthen our completeness arguments by allowing other transformations (e.g., allowing backward movement beyond the original program). However, allowing more transformations is not necessarily desirable, since it may destroy the forward progress properties of the core transformations. Forward progress is important both for ensuring termination and for any practical implementation. We will reexamine the completeness issue once we gain some experience with our prototype implementation and are thus better able to judge the interaction between the higher levels and the core transformations.

This informal discussion is not meant to establish full completeness for the core transformations. It would be naive to expect such results for the core transformations. The discussion is just meant to give some feeling for what the core transformations can and cannot do, and give us a basis for deciding on what other transformations we may want at the higher levels.

5.2 Semantic Correctness

We will now show that the core transformations preserve semantic correctness by proving that the application of any of the transformations does not affect the semantic correctness of any path through the program graph. In talking about a path, we implicitly assume that whenever a path is taken, the tests in cij's along that path evaluate to result in execution along that path. This is a natural assumption, since otherwise we would be dealing with a different path.

Claim 1: The Delete transformation preserves semantic correctness.

Proof:
No components are removed or introduced on any path by this transformation, and the paths through the removed node are not affected (i.e. they are made to point to the continuation of the deleted node). The deleted node having no function, its removal does not affect the execution semantics. □

Claim 2: The Move-op transformation preserves semantic correctness.

Proof:
1. Only paths through m or n are affected.
2. There are at most two types of entry points into the affected part of the graph: one into m (I1) and one into n (I2). There are two types of exits: one from n (E1 in Tn or Nextn)
and one from $m$—excluding the edge $(m, n)$—($E2$), see figure 3. This yields the following possible paths through the affected nodes:

$I1 \rightarrow E1$: Moving $o$ from $n$ to $m$ cannot violate dependencies, (i.e. cannot affect the evaluation of components in $n$ or $m$ along this path) and no new components are added to this path by the transformation. Changes to continuations of nodes in $N$ that pointed to $n$ other than $(m, n)$ are irrelevant for this path since these continuations define different paths, and since their evaluation could not have changed (all operations and dependencies being preserved). Thus the execution semantics of this path cannot be affected.

$I1 \rightarrow E2$: As above, all components on this path and the dependencies among them are preserved by the transformation, and $c^j$'s on this path are unaffected. While $o$ is foreign to this path (i.e. wasn't there before the move), it cannot affect the execution semantics of the path (or any paths containing it) since otherwise dependency $D_{wl}$ would have prevented the move.

$I2 \rightarrow E1$: The execution semantics of this path are completely unaffected, as $n'$ is an identical copy of the original $n$. □

Claim 3: The Move-$cj$ transformation preserves semantic correctness.

Proof:

1. Only paths through $m$ or $n$ are affected.

2. There are at most two types of entry points into the affected part of the graph: one into $m$ ($I1$) and one into $n$ ($I2$). There are four types of exits: one from $m$ ($E1$), not across the edge $(m, n)$ along which the transformation occurs, and three from $n$: $E2$—exits for which the decision does not go through the moved component $c$; $E3$—exits for which the decision goes through $T_{next_c}$; and $E4$—exits for which the decision goes through $F_{next_c}$. See figure 4 for an illustration. This yields the following types of paths:

$I2 \rightarrow E2$ or $E3, E4$: $n'$ is an identical copy of the original $n$ so the transformation preserves the execution semantics of these paths.

$I1 \rightarrow E1$: For such a path to exist we must have $T_m \neq \emptyset$. After the transformation, $c$ is a member of $T_m$, but its position with respect to the rest of the $c^j$'s in $T_m$ is unchanged (by construction) and dependencies are preserved for all operations in $m$. Furthermore $c$ is side-effect free and thus won't affect any operations on paths to $E1$. So if the evaluation of
the original $m$ leads to $E1$ being reached originally, $c$ is irrelevant and thus $E1$ will also be reached in the transformed graph. That is, the execution semantics are preserved for this path.

$I1 \rightarrow E2$: Since $cj$’s that may lead to $E2$ being reached are not part of either $ST_{next}$ or $SF_{next}$, they appear (unchanged) in both $T_n$ and $T_n''$ after the transformation. Since $c$ is now part of $m$, and no other operations have moved and no dependencies are violated, $c$ will be reached while evaluating $m$. While $c$ may choose either $n$ or $n''$, both these nodes are semantically equivalent with respect to $E2$, since they contain all the simple operations originally in $n$ and all the $cj$’s that may lead to an exit $E2$ being taken. Thus all paths from $I2$ to $E2$ have the same execution semantics as the original path. Note that this still holds if the original $T_m = \emptyset$ ($c$ becomes the root and sole element of $T_m$).

$I1 \rightarrow E3$: As for $E2$, the evaluation of $m$ causes $c$ to be reached. Everything being equal (dependencies and operations are preserved, and $c$ being added to $m$ does not affect the computation), $c$’s decision will be the same as originally. Assuming $E3$ was reached before, $c$ will pick $T_{next} = n''$ as the continuation. In $n''$ all components of $O_n$ are present and all components of $T_n$, except $c$ and its $F_{next_c}$ subtree. Everything being equal, the point where $c$ was located in the original $T_n$ is reached while evaluating $T_n''$. Since at this point in $n''$ the root of $ST_{next}$ is found, the continuation is picked by $ST_{next}$’s evaluation. That is, some $E3$ is reached. While $SF_{next}$ is not in $n''$, it is irrelevant if $test_c = true$. Thus the new path is semantically equivalent to the original path to some $E3$. Note that the above still holds if $c$ is the only $cj$ in $n$ (then $T_n = \emptyset$ and $Next_{n''} = E3$), when $c$ is the root of the original $T_n$ (then $T_n'' = ST_{next}$) and when $ST_{next} = \emptyset$ (then $T_{next_p} = E3$ in $n''$).

$I1 \rightarrow E4$: Same argument as for $I1 \rightarrow E3$, with $n$ replacing $n''$, $E4$ replacing $E3$, $F_{next}$ replacing $T_{next}$ and $false$ replacing $true$. □

Claim 4: The Unification transformation preserves semantic correctness.

Proof:

1. Only paths through nodes $m, n_0, \ldots n_z$ (see figure 5) can be affected. There are two types of entry points: one into $m$ ($I1$) and one into $n_0, \ldots n_z$ ($I2$). There are only two types of exits: one from $n_0, \ldots n_z$ ($E1$) and one from $m$ ($E2$). See figure 5) for an illustration. This yields the following types of paths:
$I_1 \to E_1$: Completely subsummed by Claim 2 above, since each such path (through each of $n_0, \ldots, n_2$) is equivalent to path $I_1 \to E_1$ in Claim 2.

$I_1 \to E_2$: Completely subsummed by Claim 2 above, since each such path is equivalent to path $I_1 \to E_2$ in Claim 2.

$I_2 \to E_1$: Completely subsummed by Claim 2 above, since each such path is equivalent to path $I_2 \to E_1$ in Claim 2. □

5.3 Termination

In practice we will guide the application of the transformations described in the previous section by some guidance rules (e.g. move an operation as high as it will go before moving another one). However we do not want to restrict our arguments to a particular case. We will show termination in the most general case, i.e., when the transformations can occur in any order.

We only need consider Directed Acyclic Graphs as input program graphs. Without some global information about loops to provide a sense of direction, there is no reason why the core transformations should stop applying if there is some cycle in the graph. To deal with cyclic graphs we simply chose points at which to introduce start and exit nodes, so we only have directed acyclic graphs at the core transformations level. The point at which cycles are broken is arbitrary for the purpose of the proof, but may be important in a practical system. Methods for cycle breaking are provided by the higher levels of PS and are discussed in the next section.

Given a DAG, the depth of a component (or of the whole node) is the maximal number of nodes between that node and the start of any path reaching that node—i.e. the “potential movement” of components of that node. Two paths in the DAG are said to be distinct if they have at least one distinct edge. A path is maximal when it is not a subpath of some other path. The length of a path is the number of nodes along that path.

We show that the application of any core transformation to an adjacent pair of nodes $m$ and $n$ decreases the depth of at least one component $c$ on some path $p$. Any components introduced by the transformation on existing paths have depth less than the original depth of $c$. Furthermore, a transformation may create at most two paths for each original $p$ it replaces,
each with fewer components of the same depth as the original component \( c \), and with the same components as path \( p \) for depths greater than that of the original \( c \). We can show that these facts are enough to ensure termination, because the motion of any component is bound by its original depth.

**Claim 5:**

Given any DAG, any arbitrary sequence of applications of core transformations must eventually terminate.

**Proof:**

For each distinct maximal path \( p \), consider the tuple

\[
< N_1^p, N_2^p, ..., N_k^p >
\]

\( N_i^p \) is the number of components on path \( p \) with depth equal to \( i \). Now let us examine the effect of each transformation on these tuples.

**Delete Transformation:** This transformation only removes empty nodes. While this does not reduce the number of components on a path, it may reduce the depths of components below the node being removed. Even when this doesn’t happen (e.g., when the node removed was the last one on a path), the node is removed from the graph, so it cannot be removed again. Thus at worst this transformation has no effect on any tuple \( < N_1^p, ..., N_k^p > \), but decreases the length of the associated paths by one. Since the transformation cannot create new empty nodes by itself, it cannot be executed unless some other transformation creates an empty node. If the other transformations cannot apply (i.e. have “terminated”), the Delete transformation must terminate as well after deleting all empty nodes.

**Move-op Transformation:** Given a transformation on edge \((m, n)\), then with respect to paths containing edges \((*, n)\), where \(*\) is not \( m \), two possibilities arise. It may be that no change occurs, even in the event of copying, because the components of \( n \) were already counted as part of these paths when assembling the tuples, and the depth of \( n \) was determined by one of these paths. Alternatively, the depth of \( n \) was not determined by these paths, and then copying will only reduce its depth on these paths by decoupling the paths from those imposing the current depth. Thus if we had a tuple \( < N_1^p, ..., N_k^p > \), it either stays unchanged, or we get \( < N_1^p, ..., N^*_2 +, ..., N^*_k - > \), where \( z \) is the depth of \( n \) before the transformation, \( p \) is
any path through \((*, n)\), \(z'\) is the depth of \(n'\) on path \(p\) after the transformation, and +/- stand for increment/decrement.

With respect to any paths containing \(m\) and \(n\), there is one less component of depth \(z\) and one more component of depth at most \(z - 1\). If the depth was not determined by a path through \((m, n)\) the depth of the moved component could actually be smaller than \(z - 1\). So, in the worst possible case we will get for any such path \(q\), a tuple \(< N_1^q, ..., N_{z-1}^q +, N_z^q - , ... >\).

Paths through \(m\) but not through \(n\) have at worst gained a component of depth at most \(z - 1\) (if the depth of \(n\) was established through \(m\), and even less otherwise). Thus for any such path \(r\), the net effect is at worst \(< N_1^r, ..., N_{z-1}^r +, ... >\).

Note that the number of paths is not increased by this transformation, as paths resulting from copying were already distinct and thus counted separately. Furthermore, no path-length increases as a result of this transformation. □

**Move-cj Transformation:**

For paths containing \((*, n)\) where \(* \neq m\), the argument is the same as for Move-op.

For paths only containing \(m\) but not \(n\), the argument is again the same as for Move-op.

For paths through \((m, n)\), moving \(c\) causes new paths to be created. If there were \(d\) paths containing \((m, n)\), there will now be at least \(d + 1\) and at most \(2(d - 1)\) paths as a result of having \(n\) and \(n''\) on the branches of \(c\). More precisely, each path \(q\) through \((m, n)\) yields as a result of the transformation at most two paths \(q'\) and \(q''\), with tuples \(< N_1^{q'}, ..., N_{z-1}^{q'} +, N_z^{q'} - , ... >\) and \(< N_1^{q''}, ..., N_{z-1}^{q''} +, N_z^{q''} - , ... >\). Paths \(q'\) and \(q''\) differ in only one edge \(((m, n), (m, n''))\) and for all \(i\), we have \(N_i^q \geq N_i^{q'}\) and \(N_i^q \geq N_i^{q''}\). (Duplicate paths are only created to ensure the semantic correctness of paths \(I1 \rightarrow E2\)—see figure 4.) □

**Unification Transformation:**

Same as for Move-op: at least one path contains less components of depth \(z\). □

Thus the net effect of Move-op, Move-cj and Unify transformations is to decrease \(N_k\) for some \(k\) in some tuple, while no other tuples have \(N_k\) increased and all new tuples have smaller \(N_k\)'s than the tuples they replace. In particular, to increase the number of components of depth \(z - 1\) on any path as a result of these transformations, the number of components of depth \(z\) on the same or other paths must decrease. None of the transformations apply unless
the depth of the moving component is greater than or equal to 2. It follows that even though
the number of components and paths may grow, the process will terminate if the length of
all paths is bounded by some k. Since we have seen that no transformation can increase
the length of a path, such a bound k exists: it is the maximal length of a path through the
program graph before any transformations are applied. If all tuples have at most k elements,
onece the kth element in a tuple starts decreasing it will eventually have to become 0 for all
paths, since it cannot be increased again. If the zth rather than the kth element is decreased
(z < k), the effect is the same except that z will be able to increase again, as a result of
decreasing elements > z. The fact that a bound k exists ensures that this cannot go on
forever. Since the Delete transformation can neither create new paths nor new nodes nor
increase any element of a tuple, it cannot affect the termination of the other transformations,
and thus its own starvation is implied by that of the other transformations. Thus overall
termination is assured. ⊓⊔

6 Beyond the Core Level

A realistic compiler that exploits parallelism of the scope we have proposed has to cope with
efficiency issues as well as provide a means of exploiting more global forms of parallelism than
the core transformations can achieve by themselves. This is the function of the higher layers
of PS which we will now outline.

6.1 Support Layer

This layer identifies data dependencies between the components of nodes in the program
graph. The methods outlined below enhance the accuracy of data-dependency analysis and
as a result widen the applicability of the core transformations.

Disambiguation and Flow Analysis: Disambiguation tries to predict, to the extent
achievable at compile time, whether two indirect memory references will conflict. It relies
heavily on flow information. Runtime Disambiguation (RTD), a mechanism developed for
Trace Scheduling may be helpful here as well. Techniques for straight disambiguation and
RTD, as well as for enhanced flow analysis are described in [16].
Renaming: This is a basic technique that eliminates multiple assignments to the same variable. It is used in most parallelism exploitation methods. It relies on flow information. It could be done once when compilation begins, but could be useful if done incrementally during the transformation process as well.

Traditional Optimisations: Standard optimizations such as Common Subexpression Elimination, Dead-Code removal, and Loop Invariant elimination are also useful during the transformation process. For example, dead (redundant) code may be created by the transformations. It may be possible to tune the core transformations not to generate such redundant code. Such tuning is likely to be more efficient than repeating these optimizations globally.

6.2 Guidance Layer

This layer guides the core transformations to improve efficiency (i.e. avoid backtracking). The following is a sampling of heuristics that, based on our experience to date, could be useful. We expect this level as well as the higher ones to be fine-tuned as a result of experimentation with our prototype.

Node Movement Precedence: Move-op transformations are given precedence over Move-cj and Unify transformations. Once a component has moved it is given precedence over components that have not moved yet. This is similar to flow information propagation, and may converge fastest if we proceed in reverse depth first order. The rationale for this rule is to avoid unnecessary code duplication and redundant movement of the same operations past each other.

Node Probability: Moving nodes with highest probability of execution is given priority. This is similar to trace scheduling, but much more general. It makes PS applicable even in situations where the notion of a trace is not well-defined. This rule applies to many conditional jumps, particularly in Quantized Loops (see below). However the predictability of conditionals, while desirable, is by no means critical to Percolation Scheduling.
**Unpredictable Conditionals:** On branches of conditionals that appear during compile-time analysis to have equal probability of execution, nodes executed on both branches are given priority. Subject to the availability of resources, operations in nodes with the longest chains of dependents are allowed to move first. Ties are broken by alternating between the branches. This will always guarantee some speedup, unlike previous methods where the wrong choice may result in a slower program than the original one.

**Compound Node:** Whole-node movement is given priority over movement of its components. The idea is to avoid fragmentation, and possibly save some dependency testing time. This is not necessarily well-defined for arbitrary compound nodes, but is obvious for nodes containing no conditional jumps.

**Probabilistic Transformations:** The primitive transformations are randomly applied (but biased by different probabilistic weighting). This would be close to the Monte Carlo approach [11], and is probably impractical. However, it has two significant advantages over the original Monte Carlo approach that would improve its performance: guaranteed correctness (no need to check or retract transformations) and guaranteed forward progress. This approach can be further enhanced by taking into account the probabilities of execution of the operations themselves based on a combination of flow analysis and their importance to computations underway (i.e. length of dependency chains originating at them).

**Human Help:** The user could guide the application of the primitive transformations. This need not be tedious; the system would perform the necessary transformations and bookkeeping and would maintain the correctness of the program. In fact this approach together with a small subset of high level transformations (for obvious situations and cleanup) could provide the core of a support environment for parallel program development.

### 6.3 Enabling Layer

These transformations allow the core transformations to be used on general program graphs, and enhance the ability of the core transformations to exploit coarser parallelism.
**Cyclic Movement:** The core transformations do not (and should not) know about cyclic graphs, as they only deal with adjacent nodes. This transformation allows them to be applied to cyclic graphs in a controlled fashion, by introducing two dummy nodes, Start and Stop, in each cycle. These are dummy nodes in that they do not appear in the final code, and their sole function is to control the application of the primitive transformations.

The point where the dummy nodes are introduced is irrelevant from the perspective of the core transformations.\(^7\) Thus we can choose the point where the dummy nodes are introduced to minimize the length of dependency chains on the path from the Start to the Stop node. This can drastically change the appearance of a loop. The idea is to enable the best parallelization on the code (between the dummy nodes) that forms the reordered loop body (see example in section 8).

**Loop Quantisation:** This technique enables PS to use the core transformations to achieve good results even when other transformations (e.g., loop interchange) may not apply (see section 8).

If full unwinding of all nested loops were possible then we could achieve optimal speedups, subject to data-dependencies, and given enough processors, since no artificial constraints would be introduced by the iteration order of the loops. This is not usually possible due to resource limitations\(^8\). Still, we would like to get the same effect, albeit on a smaller scale, as if all loops were unwound. Unwinding only one loop is not satisfactory, since it exposes parallelism only in that loop. The idea is to (progressively) unwind a few iterations of all nested loops. The unwinding is constrained by three factors: parallelism available (we want to maximize the amount of parallelism exposed—i.e. minimize dependency chains), space considerations and, most important, preserving correctness. The general techniques used are found in [18]. An example in which Loop Quantization succeeds even though other techniques fail is given in section 8.

---

\(^7\)Placing them on the original loop back-edges would be equivalent to trace scheduling.

\(^8\)Mainly processor limitations—if we were to have enough processing power to execute the unwound loops in parallel, we could probably afford the space.
**Folding and Balancing:** To best exploit the parallelism exposed by Quantization, we apply another transformation which is similar to tree-height reduction [12]. This transformation expresses each written variable in terms of initial values, by using repeated back-substitution on the Quantized loop body. It then “balances” the highest tree by using commutativity, distribution, associativity; algorithms for doing this are found in [15] and [4]. Subtrees corresponding to other written variables are identified and reused for expressions requiring the same computations (see example in section 8).

### 6.4 Meta Layer

In this layer we deal with coarse grained parallelism, (e.g. partially independent loops and modules) Once the lower levels have terminated, nodes are transformed into special nodes, called *meta-nodes*. The model given in section 3 is extended to include these meta-nodes, which are sets of ordinary nodes. The execution semantics of a meta-node is that its components are allowed to execute in any order, with the usual restriction of reads before writes of the same memory location (see section 3). The Delete and Move-op transformations can be applied to meta-nodes, with dependencies being determined by the aggregate dependency of the components. Note that truly independent conditional jumps, resulting from the overlapping loops, can occur at this level. To support such jumps in parallel requires a multi-processor system with independent conditional branching units. At this coarse level of granularity, however, the expense of such a system is reasonable.

**Loop/module overlapping:** Even when loops cannot be completely merged they may still be overlapped. More specifically, to overlap two loops $L_1$ and $L_2$ while preserving correctness the loops must fall into one of the following categories:

1. $L_1$ and $L_2$ do not reference the same variables, or, if they do, the references are to totally separate parts of the variables.

2. $L_1$ and $L_2$ reference the same variables, but we can overlap the loops so that all conflicting references occur in a safe order; that is, all writes to a variable location $S_2$ in $L_1$ will occur before any of the reads to that location in $L_2$ and all reads to $S_2$ in $L_1$ will occur before any writes of $S_2$ in $L_2$. This is achieved by imposing additional constraints on the results of
disambiguation. An example of this technique will be given in the next section.

**Independent Conditional Handling:** Consider the case where truly independent conditional jumps share a node, as for example, when conditional jumps from different loops occur in the same meta-node as a result of the loop overlapping transformation described above. To preserve the execution semantics of the overlapped loops, runtime synchronization can be used to enforce data-dependencies across the loops. Runtime synchronization, however, can be expensive in terms of running time and hardware requirements. Alternatively, we can use no-ops to provide synchronization (assuming that the processors themselves are synchronous). While this may waste some cycles (on the processor executing the no-ops), this will often be preferable to runtime synchronization particularly when the number of no-ops introduced is relatively small compared to the length of the loop. In fact, this could still be used in conjunction with runtime synchronization, to alleviate synchronization traffic at runtime. The technique would be particularly useful for relatively tight loops with essentially independent iterations containing unpredictable jumps with unequal branches—e.g., a parallel sorting algorithm.

### 6.5 Mapping Layer

This layer takes the partial schedule created by the other levels of PS and fits it on the given architecture. The machine-specific knowledge of the system is concentrated at this level. For example, this level deals with register and memory bank allocation, resource conflicts, instruction-word conflicts, data-paths allocation, etc. Since scheduling with resources is NP-hard, we must use heuristics to approximate an optimal schedule. Preliminary experience with PS and with Trace Scheduling indicates that we can expect very good results from these heuristics.

Timing considerations (e.g., for pipelined operations) could also be handled at this level. However, such considerations can be better dealt with by extending the notion of dependencies to allow a *weight* to be associated with a given data-dependency. Similarly, resources/instruction-word conflicts could also be encoded as (meta) dependencies, and thus gracefully handled by the other PS layers. An instance of a mapping layer for a novel architecture that offers good
support for PS can be found in [19].

7 Runtime Synchronization

Despite our best efforts to avoid it by static compile-time scheduling, runtime synchronization will still be necessary if we are to exploit parallelism at the higher levels of granularity (i.e. procedure/module/program). However, at this level of granularity the data-flow approach may prove effective, since the overhead introduced by synchronization is likely to be comparatively small.

It is too early for us to approach this level in any meaningful fashion. Only after first implementing our prototype and studying its performance will we be able to approach the architectural issues at this level in a non-speculative manner.

8 Sample Uses of Percolation Scheduling

This section gives some examples of the application of Percolation Scheduling as a whole. A detailed description of the process would require a more thorough discussion of the techniques than is possible in the context of this paper. For these examples we assume unit time execution for all operations and no resources conflicts. This is a common idealization, which enables us to obtain a bound on the parallelism our methods can extract. We have also examined the use of PS for real architectures [19] and to more realistic programs (e.g. sorting, some of the Livermore Loops). The preliminary results (obtained by hand-simulation) are very encouraging (speedups of 3 times or more over other compaction techniques). We expect that these speedups will increase as we complete our implementation of PS and are able to handle real (large) programs. We will then be able to present comprehensive and meaningful benchmarks and comparisons.

8.1 Example 1

Consider the sample program in figure 6(a). Figure 6(b) shows the result of the Cyclic Motion transformation (Enabling Level) on the first loop. This transformation is applied before the Core Level processing begins. The first loop is broken after operation 5. This minimizes
dependency-chain lengths along the paths created by the introduction of the Start/Stop nodes.

Next the core transformations are applied, subject to the Guidance Rules Level and the General Support Level. Operations 1, 5, 6, 7, 8, 9 have the highest probability of execution and are allowed to move first (Node Probability rule). Notice that several individual operation movements could be done in parallel. Also notice that the probability of the conditional jump branches does not matter as far as the above motions are concerned. Finally, operations from the branches are allowed to move up (Node Probability/Unpredictable Conditionals rules). This completes the optimization of the first loop.

The second loop is similar. The resulting code is shown in figure 7. The code produced thus far is already superior to that achieved by Trace Scheduling. Other methods would also be limited by the control flow and the granularity of the parallelism involved.

Note that the General Support Level is used by all the others, at least indirectly. In particular, disambiguation is helpful here.
Figure 7: Individual Loops—processed code.

Figure 8: Meta Level—Final schedule.
The results of the Meta Level are shown in figure 8. Each node is now made into a meta-node and the core transformations are then applied (for Loop Overlapping). Meta-nodes from the same loop cannot move past each other because otherwise the previous layers would have merged the independent nodes. Thus only meta-nodes from different loops (or non-loop code) can move past each other. In particular, M7 moves up to M5 (i.e., they are merged into a new meta-node). There its movement stops, since M7 has a dependency conflict with M4. The shorter loop is wrapped around the larger one as a result of the Meta transformations. No-ops are used to maintain synchronization between the two overlapping loops throughout the execution (Independent Conditional Handling). Note that while the machines on which the code is run are synchronous, the internal flow of control of the loops is completely separate from each other (i.e., conditionals are completely independent).

8.2 Example 2

As a second example, consider the way Percolation Scheduling techniques deal with recurrences. In figure 9 we find a relatively simple piece of code. Nevertheless, transformations such as loop interchange [3] or vectorization do not apply here. If the loops are in their original order, \( X[17, 2] \) will be read in iteration \( (i = 1, j = 2) \), and written in iteration \( (i = 16, j = 1) \). Since the loop on \( j \) is innermost, \( (1, 2) \) occurs before \( (16, 1) \) and thus we have a read before a write. Reversing the loops will write into \( X[17, 2] \) in iteration \( (j = 1, i = 16) \) and read from it in iteration \( (j = 2, i = 1) \); but since the loops are now reversed, the write will occur before the read, which is obviously not semantically equivalent to the original code. The interchange is therefore illegal. Furthermore, vectorization does not help, even using expansion and loop distribution (breaking): the first statement could be vectorized, but that would only reduce the execution from \( 4 \times n^2 \) to \( 3 \times n^2 + 1 \) steps, assuming vector processors of size of \( n \), which would be wasteful if \( n \) is large. Furthermore this is no better than a traditional multiprocessor, which can also achieve \( 3 \times n^2 \) execution steps by running the first two statements in parallel. Even if more than two processors were available on a traditional multiprocessor, attempting to distribute the loop across the processors will incur very heavy communication costs due to the tight dependencies between the iterations.

Percolation scheduling, on the other hand will be able to quantize the loop by unwinding
Do i=1,n
Do j=1,n
(1) T1=X[i+16,j];
(2) T2=X[i+1,j];  /* Notice that statements (2 and 4) form a recurrence */
(3) T1=T1+T2;
(4) X[i+1,j+1]=T1;

0d; 0d;

Figure 9: Original Recurrence code

on both i and j in such a manner as to efficiently utilize the resources. Note that we can
do this quantizing even though loop interchange is impossible. This is because quantization,
unlike interchange, preserves the relative order of execution of the statements inside the
"box". By comparing

(1) T1 := X[i + 16, j]; ............(4) X[i' + 1, j' + 1] := T1;

the disambiguator can determine that conflicts between iteration (i, j) and (i', j') can occur
when i' = i - 15 and j' = j + 1. Using the techniques described in [18], we find that choosing
to unwind 15 times (K_i = 15) is safe, i.e., will preserve the semantics of the original loops.
For this example we will choose K_j = 15 as well. The other dependency that needs to be
examined to quantize this loop is

(2) T2 := X[i + 1, j]; ............(4) X[i' + 1, j' + 1] := T1.

This turns out to introduce no other constraints on the unwinding. The resulting loop is
shown in figure 10.

The disambiguation mechanism will decide that the 15 unwindings along i (i to i + 14)
are independent of each other, and can be done in parallel. Applying folding and balancing
(tree height reduction) techniques to each such group (for j to j + 14), we can, resources
permitting, execute each unwound iteration in 6 steps: 1 for loading, 4 for computing sums
and storing, and 1 for storing the last results (see figure 11). To fully exploit this parallelism,
Do i=1,n,15
Do j=1,n,15
  T1=X[i+16,j];    /* j+0, i+0 */
  T2=X[i+1,j];
  T1=T1+T2;
  X[i+1,j+1]=T1;

  ................
  T29=X[i+2,j+15]; /* j+15, i+0 */
  T30=X[i+1,j+15];
  T29=T29+T30;
  X[i+1,j+16]=T29;

  ................
  T1=X[i+31,j];   /* j+0, i+15 */
  T2=X[i+1,j];
  T1=T1+T2;
  X[i+16,j+1]=T1;

  ................
  T29=X[i+31,j+15]; /* j+15, i+15 */
  T30=X[i+16,j+15];
  T29=T29+T30;
  X[i+16,j+16]=T29;

0d; 0d;

Figure 10: Same Recurrence with quantized unwinding
we need 16 processors per unwinding on \( i \), for a total of \( 15 \times 16 \) processors\(^{10}\). The speedup achieved is from \( 4 \times n^2 \) steps to \( 6 \times (n/k_1) \times (n/k_2) \), (in our example, \( k_1 = k_2 = 15 \)). If more resources were available, we could unwind more, getting even more dramatic speedups. In particular, if the loops were fully unwound the speedups would be optimal. Even when this is impossible, we will still get good performance given the available resources.

### 8.3 Current Work

We are now implementing a prototype Percolation Scheduling compiler that will include all the layers described above. We also plan simulations of appropriate architectural models. We already have available enhanced flow analysis and disambiguation code that we developed as

---

\(^{10}\)By reducing the speedup slightly, from 6 to 8 time steps per unwinding of \( i \), we could do with only \( 15 \times 8 \) processors by adding 2 extra steps for initial and intermediate storage of results.
part of the BULLDOG compiler [16], as well as implementations of most traditional optimization techniques. All these can be used in our work on PS with only minor modifications.

We now have simulated a version of a very parallel machine, which we eventually hope to refine to a realistic architecture suited to take advantage of and provide support for PS. We have also designed and simulated a pipelined architecture with extensive prefetching and multi-way jump support and no runtime synchronization [19]. Both of these simulations should prove useful for the testing and evaluation of the initial versions of our compiler. Our work is facilitated by the separation of the layers in the PS system. This separation enables us to develop and modify each layer independently. The formal definitions and correctness proofs should greatly ease the implementation process, and should give us more insight in the development of the system.

To simplify the job and avoid uninteresting details, we are initially using a (Fortran) front end similar to the one used in BULLDOG [6]. Eventually we will switch to a very high level language for scientific computing, currently under development in our department under the direction of Alan Demers, David Gries and Ken Wilson. We also hope to refine our mapping methods to generate code for a variety of architectures. This will give us the opportunity to tune our techniques and measure their effectiveness in a real life environment, while yielding insight into the architectural features which are desirable for a true Percolation Scheduling machine.

9 Conclusions

This paper has presented a technique which we call Percolation Scheduling, whose aim is to extract parallelism from programs and support parallel execution. Evidence of the correctness and advantages of this approach have also been presented. We believe that PS, coupled with more flexible architectures, can in many instances achieve parallelism far beyond that extracted by present techniques, while handling a larger range of applications.

Our work is in its initial stages of implementation. Experimentation, simulation and more study are essential to the fine tuning of the system. We expect that in this process several of the higher-level transformations described will be discarded while others will be found.
10 Acknowledgements

The technical and editorial comments of my colleagues Alan Demers, David Gries, Kevin Karplus, Hal Perkins, Fred Schnider and Jon Solworth are gratefully acknowledged. Their help has significantly improved this paper.

References


