

# ON FREE $\omega$ -CONTINUOUS AND REGULAR ORDERED ALGEBRAS

ZOLTÁN ÉSIK AND DEXTER KOZEN

Institute of Informatics, University of Szeged, P.O. Box 652, H-6701 Szeged, Hungary

Computer Science Department, Cornell University, Ithaca, NY 14853-7501, USA  
*e-mail address:* kozen@cs.cornell.edu

---

ABSTRACT. Let  $E$  be a set of inequalities between finite  $\Sigma$ -terms. Let  $\mathcal{V}_\omega$  and  $\mathcal{V}_r$  denote the varieties of all  $\omega$ -continuous ordered  $\Sigma$ -algebras and regular ordered  $\Sigma$ -algebras satisfying  $E$ , respectively. We prove that the free  $\mathcal{V}_r$ -algebra  $R(X)$  on generators  $X$  is the subalgebra of the corresponding free  $\mathcal{V}_\omega$ -algebra  $F_\omega(X)$  determined by those elements of  $F_\omega(X)$  denoted by the regular  $\Sigma$ -coterminals. We actually establish this fact as a special case of a more general construction for families of algebras specified by syntactically restricted completeness and continuity properties. Thus our result is also applicable to ordered regular algebras of higher order.

## 1. $\omega$ -CONTINUOUS ALGEBRAS

Let  $\Sigma$  be a ranked alphabet, which will be fixed throughout. A  $\Sigma$ -algebra  $A$  is called *ordered* if  $A$  is partially ordered by a relation  $\leq$  with least element  $\perp^A$  and the algebraic operations are monotone with respect to  $\leq$ ; that is, if  $f \in \Sigma_n$  and  $a_i, b_i \in A$  with  $a_i \leq b_i$  for  $1 \leq i \leq n$ , then  $f^A(a_1, \dots, a_n) \leq f^A(b_1, \dots, b_n)$ . A morphism  $h : A \rightarrow B$  of ordered algebras is a strict monotone map that commutes with the algebraic operations:

$$a \leq b \Rightarrow h(a) \leq h(b) \quad h(\perp^A) = \perp^B \quad h(f^A(a_1, \dots, a_n)) = f^B(h(a_1), \dots, h(a_n))$$

for all  $a, b, a_1, \dots, a_n \in A$  and  $f \in \Sigma_n$ ,  $n \geq 0$ .

For each set  $X$ , there is a free ordered algebra  $\mathbb{T}X$  freely generated by  $X$ . The elements of  $\mathbb{T}X$  are represented by the finite partial  $\Sigma$ -terms over  $X$ ; here *partial* means that some subterms may be missing, which is the same as having the empty term  $\perp^{\mathbb{T}X}$  in that position. When  $t \in \mathbb{T}X$  and  $A$  is an ordered algebra,  $t$  induces a function  $t^A : A^X \rightarrow A$  in the usual way.

An ordered algebra  $A$  is  *$\omega$ -continuous* [5, 7, 8] if it is  $\omega$ -complete and the operations are  $\omega$ -continuous. That is, any countable directed set (or countable chain)  $C$  has a supremum  $\bigvee C$ , and when  $n \geq 0$ ,  $f \in \Sigma_n$ , and  $C_i$  is a countable directed set for  $1 \leq i \leq n$ , then

$$f^A(\bigvee C_1, \dots, \bigvee C_n) = \bigvee \{f^A(x_1, \dots, x_n) \mid x_i \in C_i, 1 \leq i \leq n\}.$$

A morphism of  $\omega$ -continuous algebras is an  $\omega$ -continuous ordered algebra morphism.

---

2012 ACM CCS: • **Theory of computation**  $\rightarrow$  **Algebraic semantics**; *Algebraic language theory*; *Tree languages*; *Categorical semantics*; *Regular languages*.

*Key words and phrases:* Regular algebra;  $\omega$ -continuous algebra; iteration theories.

For each set  $X$ , there is a free  $\omega$ -continuous algebra  $CX$  freely generated by  $X$ . The elements of  $CX$  are the partial coterms over  $\Sigma$  (finite or infinite partial terms). When  $t \in CX$  and  $A$  is an  $\omega$ -continuous algebra,  $t$  induces a function  $t^A : A^X \rightarrow A$ .

Suppose that  $X_\omega$  is a fixed countably infinite set. A set of inequalities  $t \sqsubseteq t'$  between terms in  $\mathbb{T}X_\omega$  determines in the usual way a *variety of ordered algebras*, denoted  $\mathcal{V}$ , and a *variety of  $\omega$ -continuous algebras*, denoted  $\mathcal{V}_\omega$ . An ordered algebra  $A$  belongs to  $\mathcal{V}$  iff  $t^A \leq t'^A$  in the pointwise ordering of functions  $A^{X_\omega} \rightarrow A$  whenever  $t \sqsubseteq t'$  is in  $E$ . The class  $\mathcal{V}_\omega$  contains all  $\omega$ -continuous algebras in  $\mathcal{V}$ . It is known that all free algebras exist both in  $\mathcal{V}$  and in  $\mathcal{V}_\omega$  [3, 8]. Moreover, the free algebra in  $\mathcal{V}$  freely generated by a set  $X$  can be represented as the  $X$ -generated ordered subalgebra  $F(X)$  of the free  $\omega$ -continuous algebra  $F_\omega(X)$  in  $\mathcal{V}_\omega$ . Moreover,  $F_\omega(X)$  is the completion of  $F(X)$  by  $\omega$ -ideals (see §2).

More generally, for  $C$  a subset of an ordered algebra  $A$ , define

$$C \downarrow = \{c \mid \exists a \in C \ c \leq a\}.$$

The set  $C$  is an *order ideal* if it is directed and downward closed; that is, if  $a, b \in C$  implies there exists  $c \in C$  such that  $a, b \leq c$ , and if  $a \leq b$  and  $b \in C$ , then  $a \in C$ , i.e.  $C = C \downarrow$ . An order ideal  $C \subseteq A$  is called an  *$\omega$ -ideal* if it is countably generated; that is, there is a countable directed set  $C_0 \subseteq C$  such that  $C = C_0 \downarrow$ . The set  $I_\omega(A)$  of all  $\omega$ -ideals of  $A$  ordered by set inclusion  $\subseteq$  is an  $\omega$ -complete poset, where the supremum of an  $\omega$ -ideal  $\mathcal{A}$  of  $\omega$ -ideals is the union  $\bigcup \mathcal{A}$ . We can turn  $I_\omega(A)$  into an  $\omega$ -continuous algebra by defining

$$f^{I_\omega(A)}(C_1, \dots, C_n) = \{f^A(a_1, \dots, a_n) \mid a_i \in C_i, 1 \leq i \leq n\} \downarrow,$$

the order ideal generated by the set  $\{f^A(a_1, \dots, a_n) \mid a_i \in C_i, 1 \leq i \leq n\}$ . It is clear that  $A$  can be embedded in  $I_\omega(A)$  by the ordered algebra morphism mapping  $a \in A$  to the order ideal  $\{a\} \downarrow$  generated by  $a$ . In fact, it is known that  $I_\omega(A)$  is the *free completion* of  $A$  to an  $\omega$ -continuous algebra [3, 8]. In particular,  $CX$  is isomorphic to  $I_\omega(\mathbb{T}X)$  for all  $X$ .

## 2. FREE COMPLETION

Every ordered algebra can be completed to an  $\omega$ -continuous algebra by the method of completion by  $\omega$ -ideals. This result is well known and can be proved by standard universal-algebraic argument [3, 8]. In this section we give a more general construction that will allow us to apply this idea more widely.

Suppose we have a monad  $(F, \mu^F, \eta^F)$  on some category  $C$ .

$$\begin{array}{ccc}
 F^3 & \xrightarrow{F\mu^F} & F^2 \\
 \mu^F F \downarrow & & \downarrow \mu^F \\
 F^2 & \xrightarrow{\mu^F} & F
 \end{array}
 \qquad
 \begin{array}{ccc}
 F & \xrightarrow{F\eta^F} & F^2 \\
 \eta^F F \downarrow & \searrow 1F & \downarrow \mu^F \\
 F^2 & \xrightarrow{\mu^F} & F
 \end{array}
 \tag{2.1}$$

An  $F$ -algebra (aka Eilenberg–Moore algebra for  $F$ ) is a pair  $(X, \alpha)$ , where  $\alpha : FX \rightarrow X$  is the *structure map* of the algebra. It must satisfy

$$\begin{array}{ccc} F^2X & \xrightarrow{F\alpha} & FX \\ \mu_X^F \downarrow & & \downarrow \alpha \\ FX & \xrightarrow{\alpha} & X \end{array} \quad \begin{array}{ccc} X & \xrightarrow{\eta_X^F} & FX \\ & \searrow 1_X & \downarrow \alpha \\ & & X \end{array} \quad (2.2)$$

An  $F$ -algebra morphism is a morphism of the underlying category  $\mathcal{C}$  that commutes with the structure maps. The category of  $F$ -algebras and  $F$ -algebra morphisms is denoted  $F\text{-Alg}$ .

**Examples 2.1.** In our application, the underlying category  $\mathcal{C}$  is the category of posets with  $\perp$  and strict monotone maps. An  $F$ -algebra is a pair  $(X, \alpha)$  where  $\alpha : FX \rightarrow X$  is a morphism of  $\mathcal{C}$ . For functors representing algebraic signatures (polynomial functors with an added  $\perp$  or partial term algebras), this implies that the algebraic operations are monotone, but not necessarily strict.

The free ordered algebra  $\mathbb{T}X$  and the free  $\omega$ -continuous algebra  $\mathbb{C}X$  are Eilenberg–Moore algebras for the partial term monad and partial coterm monad for the signature  $\Sigma$ , respectively.

Suppose further that we have another monad  $(D, \mu^D, \eta^D)$  on  $\mathcal{C}$ , along with a distributive law  $\lambda : FD \rightarrow DF$ .

$$\begin{array}{ccc} FD^2 & \xrightarrow{F\mu^D} & FD & \xleftarrow{\mu^F D} & F^2D \\ \lambda D \downarrow & & \downarrow \lambda & & \downarrow F\lambda \\ DFD & & & & FDF \\ D\lambda \downarrow & & & & \downarrow \lambda F \\ D^2F & \xrightarrow{\mu^D F} & DF & \xleftarrow{D\mu^F} & DF^2 \end{array} \quad \begin{array}{ccc} F & \xrightarrow{F\eta^D} & FD & \xleftarrow{\eta^F D} & D \\ & \searrow \eta^D F & \downarrow \lambda & \swarrow D\eta^F & \\ & & DF & & \end{array} \quad (2.3)$$

One can show that  $D$  lifts to a monad  $(\widehat{D}, \mu^D, \eta^D)$  on  $F\text{-Alg}$  with

$$\widehat{D}(X, \alpha) = (DX, D\alpha \circ \lambda_X) \quad \widehat{D}h = Dh.$$

The monad operations  $\mu^D$  and  $\eta^D$  of  $\widehat{D}$  are the same as those of  $D$ . The monad laws (2.1) hold for  $\widehat{D}$  because they are the same as those for  $D$ . We must also show that the Eilenberg–Moore properties (2.2) hold and that  $\mu_X^D, \eta_X^D$  are  $F\text{-Alg}$  morphisms.

$$\begin{array}{ccc} F^2DX & \xrightarrow{F(D\alpha \circ \lambda_X)} & FDX \\ \mu_{DX}^F \downarrow & & \downarrow D\alpha \circ \lambda_X \\ FDX & \xrightarrow{D\alpha \circ \lambda_X} & DX \end{array} \quad \begin{array}{ccc} DX & \xrightarrow{\eta_{DX}^F} & FDX \\ & \searrow 1_{DX} & \downarrow D\alpha \circ \lambda_X \\ & & DX \end{array}$$

$$\begin{array}{ccc} FD^2X & \xrightarrow{F\mu_X^D} & FDX \\ D(D\alpha \circ \lambda_X) \circ \lambda_{DX} \downarrow & & \downarrow D\alpha \circ \lambda_X \\ D^2X & \xrightarrow{\mu_X^D} & DX \end{array} \quad \begin{array}{ccc} FX & \xrightarrow{F\eta_X^D} & FDX \\ \alpha \downarrow & & \downarrow D\alpha \circ \lambda_X \\ X & \xrightarrow{\eta_X^D} & DX \end{array}$$

These results follow from the monad properties (2.1) for  $F$  and  $D$ , the Eilenberg-Moore properties (2.2) for  $(X, \alpha)$ , and the distributive laws (2.3) by straightforward arrow chasing.

Now suppose  $(X, \beta)$  is a  $D$ -algebra. Under what conditions can we sensibly augment an  $F$ -algebra  $(X, \alpha)$  with the new operation  $\beta$ ? A sufficient condition is when  $((X, \alpha), \beta)$  forms a  $\widehat{D}$ -algebra; that is, when  $\beta$  is an  $F$ -Alg morphism  $\beta : \widehat{D}(X, \alpha) \rightarrow (X, \alpha)$ .

$$\begin{array}{ccc} FDX & \xrightarrow{F\beta} & FX \\ D\alpha \circ \lambda_X \downarrow & & \downarrow \alpha \\ DX & \xrightarrow{\beta} & X \end{array} \quad (2.4)$$

This gives an  $F+D$ -algebra  $(X, \alpha, \beta) = ((X, \alpha), \beta)$  in which  $D$  distributes over  $F$ . Moreover, this is also a  $\widehat{D}$ -algebra, since the conditions (2.2) are the same.

Now, as is well known, because we have a monad  $(\widehat{D}, \mu^D, \eta^D)$ , the functor  $(X, \alpha) \mapsto (DX, D\alpha \circ \lambda_X, \mu_X^D)$  is left adjoint to the forgetful functor  $(X, \alpha, \beta) \mapsto (X, \alpha)$ . The algebra  $(DX, D\alpha \circ \lambda_X, \mu_X^D)$  is the free completion of  $(X, \alpha)$ . The unit of the adjunction  $\eta_X^D : (X, \alpha) \rightarrow (DX, D\alpha \circ \lambda_X)$  embeds the original  $F$ -algebra in its completion. The counit  $(DX, D\alpha \circ \lambda_X, \mu_X^D) \rightarrow (X, \alpha, \beta)$  is  $\beta$ .

**Examples 2.2.** Let  $C$  be the category of posets with  $\perp$  and strict monotone maps. Consider the monad  $(D, \mu^D, \eta^D)$  such that  $DX$  is the set of all countably generated order ideals ordered by set inclusion.

$$DX = \{A\downarrow \mid A \subseteq X, A \text{ is a countable directed set}\}.$$

For  $\mathcal{A} \subseteq DX$  a countable directed set of order ideals of  $X$ , and for  $x \in X$ , let

$$\mu_X^D(\mathcal{A}\downarrow) = (\cup \mathcal{A})\downarrow \quad \eta_X^D(a) = \{a\}\downarrow.$$

The distributive law  $\lambda_X : FDX \rightarrow DFX$  takes a term or cotermin over a countable collection of order ideals to an order ideal of terms or cotermins over  $X$  of the same shape. For example, for  $f \in \Sigma_n$ ,

$$\lambda_X : f(A_1, \dots, A_n) \mapsto \{f(a_1, \dots, a_n) \mid a_i \in A_i, 1 \leq i \leq n\}\downarrow.$$

If  $(X, \alpha)$  is an ordered  $\Sigma$ -algebra, applying  $D\alpha$  to the right-hand side applies  $\alpha$  to every element of that set, which is the same as interpreting the term in the  $F$ -algebra  $(X, \alpha)$ . For example,

$$D\alpha \circ \lambda_X : f(A_1, \dots, A_n) \mapsto \{f^{(X, \alpha)}(a_1, \dots, a_n) \mid a_i \in A_i, 1 \leq i \leq n\}\downarrow.$$

This is the algebra  $\widehat{D}(X, \alpha) = (DX, D\alpha \circ \lambda_X)$ .

An Eilenberg-Moore algebra  $(X, \beta)$  for the monad  $D$  is simply an  $\omega$ -complete poset, the operation  $\beta : DX \rightarrow X$  giving the supremum of a countably generated directed set. The property (2.4) says exactly that the algebraic operations commute with the supremum operator; that is, the algebraic operations are  $\omega$ -continuous.

The  $\widehat{D}$ -algebra  $(DX, D\alpha \circ \lambda_X, \mu_X^D)$ , the free extension of  $(X, \alpha)$  to an  $F+D$ -algebra, is the completion by countably generated order ideals. The unit of the adjunction  $\eta_X^D : (X, \alpha) \rightarrow (DX, D\alpha \circ \lambda_X, \mu_X^D)$  embeds the original  $F$ -algebra in its ideal completion. The counit  $(DX, D\alpha \circ \lambda_X, \mu_X^D) \rightarrow (X, \alpha, \beta)$  is the supremum operator  $\beta$ .

## 3. QUASI-REGULAR FAMILIES

In this section we study limited completeness conditions in which not all suprema need exist, but only those of a certain syntactically restricted form.

For each set  $X$ , let  $\Delta X$  denote an ordered subalgebra of  $CX$  containing  $X$ . The set  $\Delta X$  is a set of partial coterms closed under the algebraic operations  $\Sigma$ . Note that each finite term in  $\mathbb{T}X$  is in  $\Delta X$ , thus  $\mathbb{T}X \subseteq \Delta X \subseteq CX$ .

We further assume for all  $X$  and  $Y$  and for all morphisms  $h : CX \rightarrow CY$  of  $\omega$ -continuous algebras with  $h(X) \subseteq \Delta Y$  that  $h(\Delta X) \subseteq \Delta Y$ . A family of term algebras  $(\Delta X)_X$  satisfying this property is called a *quasi-regular family*.

Under these conditions,  $\Delta$  determines a submonad  $(\Delta, \mu^\Delta, \eta^\Delta)$  of the cotermin monad. The monad operations are the same, except with suitably restricted domain. Thus  $\eta_X^\Delta : X \rightarrow \Delta X$  makes a singleton term  $x$  out of an element  $x \in X$ , and  $\mu_X^\Delta : \Delta^2 X \rightarrow \Delta X$  takes a term of terms over  $X$  and collapses it to a term over  $X$ .

**Examples 3.1.** Two examples of quasi-regular families are  $\Delta X = CX$ , the set of all partial coterms over  $X$ , and  $\Delta X = \mathbb{T}X$ , the set of all partial terms over  $X$ . These are the maximum and minimum quasi-regular families, respectively, for a given signature  $\Sigma$ . The regular or algebraic trees [5, 8], or more generally, the set of regular (or rational) trees of order  $n$  [6] also form quasi-regular families. Also, the union of the  $n$ -regular trees over  $X$  for all  $n \geq 0$  is a quasi-regular family.

**Lemma 3.2.** *For any set  $X$ , the set  $\Delta X$  is uniquely determined by  $\Delta X_\omega$ .*

*Proof.* Let  $h : X \rightarrow Y$  be an injection. Then  $h$  extends uniquely to a morphism  $\widehat{h} = \mu_Y \circ \Delta h : \Delta X \rightarrow \Delta Y$  of  $\omega$ -continuous algebras. We claim that

$$\Delta Y = \{\widehat{h}(t) \mid X \subseteq X_\omega, h : X \rightarrow Y \text{ is an injection}, t \in \Delta X\}.$$

The reverse inclusion holds by our assumption  $\widehat{h}(\Delta X) \subseteq \Delta Y$ . For the forward inclusion, suppose  $s \in \Delta Y$ . Then  $s \in \Delta Y'$  for some finite or countable subset  $Y' \subseteq Y$ , as there are at most countably many subterms of  $s$ . Let  $X \subseteq X_\omega$  be of the same cardinality as  $Y'$  and let  $h : X \rightarrow Y'$  be a bijection. Then  $\widehat{h}^{-1}(s) \in \Delta X_\omega$ ,  $h : X \rightarrow Y$  is an injection, and  $s = \widehat{h}(\widehat{h}^{-1}(s))$ .  $\square$

**Definition 3.3.** For terms  $t_1, t_2 \in CX$ , define  $t_1 \ll t_2$  if  $t_1$  is finite, but agrees as a labeled tree with  $t_2$  wherever it is defined. That is,  $t_1 \in \mathbb{T}X$  and  $t_1$  can be obtained from  $t_2$  by deleting subterms. Clearly  $t_1 \leq t_2$  whenever  $t_1 \ll t_2$ .

Let  $A$  be an ordered  $\Sigma$ -algebra. The structure map  $\beta : \mathbb{T}A \rightarrow A$  interprets finite terms as elements of  $A$ . A set  $B \subseteq A$  is called a  $\Delta$ -set if there is a term  $t \in \Delta A$  such that  $B = \{\beta(s) \mid s \ll t\}$ . The set  $\{s \mid s \ll t\}$  is a countable directed subset of  $\mathbb{T}A$ , and as  $\beta$  preserves order,  $B$  is a countable directed subset of  $A$ .

We say that an ordered algebra  $A$  is a  $\Delta$ -regular algebra if the suprema of all  $\Delta$ -sets exist and the algebraic operations preserve the suprema of  $\Delta$ -sets.

We will show that any  $\Delta$ -regular algebra can be extended to an Eilenberg-Moore algebra for the monad  $\Delta$ . Note that  $\Delta X$  itself is a  $\Delta$ -regular algebra. This is due to the fact that  $\Delta$  is a monad.

**Theorem 3.4.** *Let  $A$  be a  $\Delta$ -regular algebra with structure map  $\beta : \mathbb{T}A \rightarrow A$ . Extend  $\beta$  to domain  $\Delta A$  by defining*

$$\beta(t) = \bigvee \{\beta(s) \mid s \ll t\}.$$

The suprema of  $\Delta$ -sets exist by assumption. Then  $A$  with the extended structure map  $\beta$  is an Eilenberg-Moore algebra for the monad  $\Delta$ .

*Proof.* We must show that the Eilenberg-Moore properties (2.2) hold:  $\beta(a) = a$ , and for any indexed set  $(s_i \mid i \in I)$  of elements of  $\Delta A$  and  $t(s_i \mid i \in I) \in \Delta^2 A$ ,

$$\beta(t(s_i \mid i \in I)) = \beta(t(\beta(s_i) \mid i \in I)). \quad (3.1)$$

The first property holds since  $(A, \beta)$  is an Eilenberg-Moore algebra for the term monad  $\mathbb{T}$ . Property (3.1) holds for finite  $t$  and finite  $s_i$  for the same reason.

More generally, by our assumption that the algebraic operators preserve suprema of  $\Delta$ -sets, it follows by induction that for finite  $t \in \mathbb{T}\{x_1, \dots, x_n\}$  and  $s_i \in \Delta A$ ,  $1 \leq i \leq n$ ,

$$\beta(t(\beta(s_1), \dots, \beta(s_n))) = \bigvee_{\substack{s'_i \ll s_i \\ 1 \leq i \leq n}} \beta(t(\beta(s'_1), \dots, \beta(s'_n))). \quad (3.2)$$

Now to show (3.1) in the general case,

$$\beta(t(s_i \mid i \in I)) = \bigvee_{t' \ll t(s_i \mid i \in I)} \beta(t') \quad (3.3)$$

$$= \bigvee_{t' \ll t} \bigvee_{\substack{s'_i \ll s_i \\ i \in I}} \beta(t'(s'_i \mid i \in I)) \quad (3.4)$$

$$= \bigvee_{t' \ll t} \bigvee_{\substack{s'_i \ll s_i \\ i \in I}} \beta(t'(\beta(s'_i) \mid i \in I)) \quad (3.5)$$

$$= \bigvee_{t' \ll t} \beta(t'(\beta(s_i) \mid i \in I)) \quad (3.6)$$

$$= \beta(t(\beta(s_i) \mid i \in I)). \quad (3.7)$$

Step (3.3) is by definition of  $\beta$ . Step (3.4) is by definition of  $\ll$ . Step (3.5) is by property (3.1) for finite terms. Step (3.6) is by property (3.2). Finally, step (3.7) is by definition of  $\beta$ .  $\square$

We have shown that every  $\Delta$ -regular algebra is a  $\Delta$ -algebra, that is, an Eilenberg-Moore algebra for the monad  $\Delta$ . Henceforth, we drop the “regular” and just call them  $\Delta$ -algebras.

The value of  $\beta$  is uniquely determined on all elements of  $\Delta A$ , thus each ordered algebra with  $\perp$  can be turned into a  $\Delta$ -algebra in at most one way. Moreover, every  $\Delta$ -algebra is determined by the interpretation of the terms in  $\Delta(X_\omega)$ .

A *morphism* of  $\Delta$ -algebras is a morphism on the carriers as ordered sets with  $\perp$  that commutes with the structure maps. It follows that any morphism of  $\Delta$ -algebras preserves suprema of  $\Delta$ -sets, as we now show.

**Theorem 3.5.** *Let  $(A, \alpha)$  and  $(B, \beta)$  be  $\Delta$ -algebras and  $h : A \rightarrow B$  a morphism. For any  $\Delta$ -set  $D \subseteq A$ , its image  $\{h(a) \mid a \in D\} \subseteq B$  is a  $\Delta$ -set in  $B$ , and*

$$h(\bigvee D) = \bigvee \{h(a) \mid a \in D\}.$$

*Proof.* Let  $t \in \Delta A$  such that  $D = \{\alpha(s) \mid s \ll t\}$ . We must show that  $\{h(\alpha(s)) \mid s \ll t\}$  is a  $\Delta$ -set in  $B$  and

$$h(\alpha(t)) = \bigvee \{h(\alpha(s)) \mid s \ll t\}.$$

Since  $h$  commutes with the structure maps,

$$\{h(\alpha(s)) \mid s \ll t\} = \{\beta(\Delta h(s)) \mid s \ll t\} = \{\beta(u) \mid u \ll \Delta h(t)\},$$

and this is a  $\Delta$ -set in  $B$ . Moreover,

$$h(\alpha(t)) = \beta(\Delta h(t)) = \bigvee \{\beta(u) \mid u \ll \Delta h(t)\} = \bigvee \{h(\alpha(s)) \mid s \ll t\}.$$

□

**Examples 3.6.** If  $\Delta = \top$ , then a  $\Delta$ -algebra is just an ordered algebra. If  $\Delta X$  is the collection of all regular trees over  $X$ , then a  $\Delta$ -algebra is an ordered regular algebra [10, 11]. If  $\Delta X$  is the set of all regular trees of order  $n$ ,  $n \geq 0$ , then a  $\Delta$ -algebra is an  $n$ -regular (or  $n$ -rational) algebra of [6].

We have defined  $\Delta$ -algebras  $A$  in terms of suprema of  $\Delta$ -sets in  $A$ . However, one could generalize the notion of  $\Delta$ -set to include any set of terms with a supremum in  $\Delta A$ .

Suppose that  $(A, \alpha)$  is a  $\Delta$ -algebra. A subset  $E \subseteq \Delta A$  is said to be *consistent* if any two elements of  $E$ , considered as labeled trees, agree wherever both are defined. That is, if  $t_1, t_2 \in E$ , and  $t_1$  and  $t_2$  both have a node at position  $x$  in the tree, then the element of  $\Sigma$  labeling  $t_1$  at  $x$  is the same as the element of  $\Sigma$  labeling  $t_2$  at  $x$ . Any consistent set of terms has a unique supremum.

We say that a set  $D \subseteq A$  is an *extended  $\Delta$ -set* if there is a consistent set  $E \subseteq \Delta A$  such that  $\bigvee E \in \Delta A$  and  $D = \{\alpha(t) \mid t \in E\}$ .

We state the following theorem without proof, but it is not difficult to prove using the same technique as in Theorems 3.4 and 3.5.

**Theorem 3.7.** *The algebraic operations of any  $\Delta$ -algebra and all  $\Delta$ -algebra morphisms preserve suprema of extended  $\Delta$ -sets.*

As every  $\Delta$ -set is an extended  $\Delta$ -set, we can replace the definition of  $\Delta$ -regular algebra by the stronger property that suprema of all extended  $\Delta$ -sets exist and are preserved by the algebraic operations.

#### 4. MAIN RESULT

We can introduce varieties of  $\Delta$ -algebras defined by a set of inequalities between finite partial terms in  $\top X_\omega$  in the expected way.

Suppose that  $\mathcal{V}_\omega$  is a variety of  $\omega$ -continuous algebras defined by a set of inequalities between finite partial terms. Let  $\mathcal{V}_r$  denote the variety of all  $\Delta$ -algebras defined by the same set of inequalities. Let  $F_r(X)$  denote the free ordered algebra on generators  $X$  in  $\mathcal{V}_r$ . Let  $F_\omega(X)$  denote the free  $\omega$ -continuous algebra on generators  $X$  in  $\mathcal{V}_\omega$  (see [8]). Let  $R(X)$  denote the ordered  $\Delta$ -subalgebra of  $F_\omega(X)$  generated by  $X$ . The elements of  $R(X)$  are all elements of  $F_\omega(X)$  denoted by the terms in  $\Delta X$ :

$$R(X) = \{t^{F_\omega(X)} \mid t \in \Delta X\}.$$

**Theorem 4.1.**  *$R(X)$  is the free  $\Delta$ -algebra in  $\mathcal{V}_r$  on generators  $X$ . It is isomorphic to the completion of  $F_r(X)$  by  $\Delta$ -ideals. (A  $\Delta$ -ideal is simply the down-closure  $B \downarrow$  of a  $\Delta$ -set  $B$ .)*

*Proof.* Since  $R(X)$  embeds in  $F_\omega(X)$ , we have that  $R(X) \in \mathcal{V}_r$  by Birkhoff's theorem.

Suppose that  $A$  is an ordered  $\Delta$ -regular algebra and  $h : X \rightarrow A$ . Consider the completion  $I_\omega(A)$  of  $A$  by  $\omega$ -ideals, which is an  $\omega$ -continuous algebra in  $\mathcal{V}_\omega$  [3, 8]. The function  $\eta_A^D : a \mapsto \{a\} \downarrow$  embeds  $A$  in  $I_\omega(A)$ , so we can view  $h$  as a function  $X \rightarrow I_\omega(A)$ . Since  $I_\omega(A) \in \mathcal{V}_\omega$ , there is a unique extension of  $h$  to a morphism  $\widehat{h} : F_\omega(X) \rightarrow I_\omega(A)$  of  $\omega$ -continuous algebras. The restriction of  $\widehat{h}$  to  $R(X)$  is an ordered  $\Delta$ -regular algebra morphism

$R(X) \rightarrow I_\omega(A)$ . Let  $R(A)$  denote the image of  $R(X)$  under  $\widehat{h}$ . Then  $R(A)$  is a  $\Delta$ -regular subalgebra of  $I_\omega(A)$  generated by  $A$ , and  $R(A)$  is in  $\mathcal{V}_r$  because it is a homomorphic image of  $R(X)$ , which is in  $\mathcal{V}_r$ .

Finally, let  $\vee$  be the supremum operator on  $R(A)$ . The elements of  $R(A)$  are  $\omega$ -ideals generated by  $\Delta$ -sets, therefore the suprema exist. The map  $\vee$ , being a component of the counit of the completion construction, is a morphism of  $\Delta$ -algebras; that is, a strict monotone function that preserves suprema of  $\Delta$ -sets and commutes with the algebraic operations.

Now the restriction of  $\widehat{h}$  composed with  $\vee$  is the required unique extension of  $h$  to a morphism  $R(X) \rightarrow A$  of  $\Delta$ -algebras.

We can also construct  $R(X)$  directly as the free completion of  $F_r(X)$  by  $\Delta$ -ideals. Here we use the general construction of §2. Let  $F = \mathbb{T}$ , the finite term monad for the signature  $\Sigma$  and  $D$  the monad of  $\Delta$ -ideals. The algebra  $F_r(X)$  is an  $F$ -algebra. We must check that the monad  $D$  satisfies the condition (2.4); but this is almost automatic, as  $D$  is a submonad of the monad of Example 2.2.

The free completion is  $(D(F_r(X)), D\alpha \circ \lambda_{F_r(X)}, \mu_{F_r(X)}^D)$ , where  $\alpha$  is the structure map of  $F_r(X)$ . It is the free  $\Delta$ -algebra on generators  $X$ . As  $R(X)$  is also a  $\Delta$ -algebra, there is a unique  $\Delta$ -algebra morphism  $g$  from  $D(F_r(X))$  to  $R(X)$  mapping  $x \in X$  to  $x$ . Since both algebras are generated by  $X$ ,  $g$  is surjective. Similarly, since  $D(F_r(X))$  is a  $\Delta$ -algebra, as noted above, there is a  $\Delta$ -algebra morphism  $h$  from  $R(X)$  to  $D(F_r(X))$  mapping  $x \in X$  to  $x$ . The composition  $h \circ g$  is the unique morphism on  $D(F_r(X))$  extending the identity on  $X$ , thus must be the identity morphism. Thus  $R(X)$  and  $D(F_r(X))$  are isomorphic.  $\square$

**Examples 4.2.** The free  $\omega$ -continuous semiring on generators  $X$  is the semiring of power series  $\mathbb{N}_\infty \langle\langle X^* \rangle\rangle$  with a countable support having coefficients in  $\mathbb{N}_\infty$  obtained from the semiring of natural numbers by adding a point at infinity. It follows that the free regular semiring over  $X$  is the semiring  $\mathbb{N}_\infty^{\text{alg}} \langle\langle X^* \rangle\rangle$  of all algebraic elements of  $\mathbb{N}_\infty \langle\langle X^* \rangle\rangle$ .

The free  $\omega$ -continuous idempotent semiring on  $X$  is the semiring of all at most countably infinite languages in  $X^*$ . The  $\omega$ -continuous idempotent semirings are the same as the closed semirings used in the study of shortest-path algorithms [2] (modulo minor corrections to the axiomatization). The free “1-regular” idempotent semiring over  $X$  is the semiring of OI-macro languages over  $X$ .

The free star-continuous Kleene algebra on generators  $X$  is the family of regular subsets of  $X^*$  [9]. This is the free  $\Delta$ -algebra for  $\Delta$  the set of regular coterms defined by finite systems of linear affine equations in the variety of idempotent semirings. The family of context-free languages is the free  $\Delta$ -algebra for  $\Delta$  the set of regular coterms defined by finite systems of algebraic equations in the variety of idempotent semirings. The free  $\omega$ -continuous idempotent semiring is the completion of the free Kleene algebra by countably generated star-ideals [9].

A final example is given by the category of iteration theories [4], which is isomorphic to the category of Eilenberg-Moore algebras for the rational-tree monad on the category of signatures [1].

## REFERENCES

- [1] J. Adámek, S. Milius, and J. Velebil. What are iteration theories? In L. Kučera and A. Kučera, editors, *Mathematical Foundations of Computer Science (MFCS 2007)*, pages 240–252, Berlin, Heidelberg, August 2007. Springer.

- [2] A. V. Aho, J. E. Hopcroft, and J. D. Ullman. *The Design and Analysis of Computer Algorithms*. Addison Wesley, 1975.
- [3] S. L. Bloom. Varieties of ordered algebras. *J. Comp. Syst. Sci.*, 13:200–212, 1976.
- [4] S. L. Bloom and Z. Ésik. *Iteration Theories*. Springer, 1993.
- [5] B. Courcelle. Fundamental properties of infinite trees. *Theor. Comput. Sci.*, 25:95–169, 1983.
- [6] J. H. Gallier. The semantics of recursive programs with function parameters of finite types:  $n$ -rational algebras and logic of inequalities.
- [7] J. A. Goguen, J. W. Thatcher, E. G. Wagner, and J. B. Wright. Initial algebra semantics and continuous algebras. *J. ACM*, 24:68–95, 1977.
- [8] I. Guessarian. Algebraic semantics. *LNCS*, 99, 1981.
- [9] D. Kozen. On Kleene algebras and closed semirings. In Rován, editor, *Proc. Mathematical Foundations of Computer Science (MFCS 1990)*, volume 452 of *Lecture Notes in Computer Science*, pages 26–47, Banská-Bystrica, Slovakia, 1990. Springer-Verlag.
- [10] J. Tiuryn. Fixed points and algebras with infinitely long expressions I. *Fundamenta Informaticae*, 2:103–128, 1978.
- [11] J. Tiuryn. Fixed points and algebras with infinitely long expressions II. *Fundamenta Informaticae*, 2:317–335, 1979.