Preparation and properties of biodegradable starch–clay nanocomposites

Yi-Lin Chung\textsuperscript{a}, Seema Ansari\textsuperscript{b}, Luis Estevez\textsuperscript{b}, Suren Hayrapetyan\textsuperscript{b}, Emmanuel P. Giannelis\textsuperscript{b,*}, Hsi-Mei Lai\textsuperscript{a,*}

\textsuperscript{a}Department of Agricultural Chemistry, National Taiwan University, No. 1, Roosevelt Rd., Sec. 4, Taipei 10617, Taiwan
\textsuperscript{b}Department of Materials Science and Engineering, Cornell University, Ithaca, NY 14853, USA

\textbf{ABSTRACT}

Well-dispersed starch–clay nanocomposites were prepared by adding a dilute clay dispersion to a solution of starch followed by coprecipitation in ethanol. The clay didn't significantly influence the type of crystalline structure of starch molecules although the amount of crystallinity appears to be somewhat lower in the nanocomposites. The nanocomposites show improved modulus and strength without a decrease in elongation at break. The increase in modulus and strength is 65\% and 30\%, respectively for the nanocomposite containing 5 wt.\% clay compared to the unfilled starch materials. Further increases in clay result in deterioration in properties most likely due to poorer clay dispersion and lower polymer crystallinity. As the amount of water increases, the modulus of both pure starch and starch nanocomposites decreases, although the change is less pronounced in the nanocomposites suggesting that the addition of clay to form nanocomposites can improve the stability of starch-based products during transportation and storage.

1. Introduction

Most synthetic polymers are produced from petrochemicals and are harmful to nature. Their synthesis produces hazardous waste and these materials are not easily degradable, causing environmental problems. Plants are potential sources for a wide variety of polymers which are renewable and ecologically friendly. The agro-based biopolymers are edible, biocompatible and biodegradable, which make them superior to synthetic polymers and particularly useful in disposable plastics, food, and medicine applications. Starches, the dominant carbohydrate reserve materials of higher plants, are abundant and relatively inexpensive biopolymers. They contain stored energy from sun and can be produced steadily without fears of exhaustion. Furthermore, in the presence of plasticizers, starches can be processed using conventional thermoplastic techniques. However, the mechanical properties of starch-based materials are often sensitive and responsive to the changes in the environment such as humidity, temperature, and pH. This drawback has to be overcome to obtain high performance biomaterials.

Biopolymer–clay nanocomposites are a new class of materials with potentially improved mechanical properties. These composites are prepared by addition of low amounts of clay to the biopolymer matrix (Zhao, Torley, & Halley, 2008). The main challenge for preparing nanocomposites is the nanoscale dispersion of clay in the biopolymer matrix. Montmorillonite is the most commonly used natural clay and has been successfully applied in numerous nanocomposite systems (Giannelis, 1996; Paul & Robeson, 2008; Pavlidou & Papaspyrides, 2008; Raquez, Narayan, & Dubois, 2008; Ray & Okamoto, 2003). However, most of the reported starch–clay nanocomposites suffer from poor dispersion, which is required for obtaining high performance materials (Bagdi, Muller, & Pukanszky, 2006; Chiu et al., 2006; Pandey & Singh, 2005; Park, Lee, Park, Cho, & Ha, 2003; Park et al., 2002; Wilhelm, Sierakowski, Souza, & Wypych, 2003a, 2003b). To improve the dispersion, organic cations such as stearyl dihydroxyethyl ammonium chloride (Bagdi et al., 2006), distearyl dimethyl ammonium chloride (Bagdi et al., 2006) and quaternary ammonium-modified starches (Chivrac et al., 2008) were used to exchange with the sodium ions residing in the interlayer of pristine montmorillonite. The more the modifier is compatible with starch, the more it facilitates clay dispersion (Chivrac et al., 2008). However, miscibility is still an issue and clay dispersion remains a challenge (Bagdi et al., 2006; Chiu et al., 2006; Park et al., 2002, 2003).

Plasticizer is another important factor that influences the properties of starch–clay nanocomposites. The melting temperature of a starch granule is close to its degradation temperature. Hence, plasticizers are required to destroy the inter-molecular hydrogen bonding in the crystalline regions of starch granules and decrease the melting temperature during thermoplastic processing. Small molecules such as glycerol and water that can form hydrogen bonds with starch can serve as plasticizers in starch-based materials. A part of the plasticizers can potentially be absorbed on the...
clay surface, influencing the interfacial strength and stress transfer between the polymeric matrix and clay (Chivrac, Pollet, Schmutz, & Averous, 2008). Depending on the relative humidity, the moisture content (plasticizer concentration) of the starch–clay nanocomposites will change and consequently influence the Young's modulus of the materials (Avella et al., 2005; Chivrac, Pollet et al., 2008; Huang, Yu, & Ma, 2006; Ma, Yu, & Wang, 2007; Perez, Alvarez, Mondragon, & Vazquez, 2008).

In this study, we prepared well-dispersed starch–clay nanocomposites with three different kinds of clay: montmorillonite, chitosan-modified montmorillonite, and laponite. Chitosan-modified montmorillonite is of interest because chitosan is a natural polysaccharide that is compatible with the starch matrix as well as being ion-exchanged in the clay (Darder, Colilla, & Ruiz-Hitzky, 2003; Kampeearappun, Aht-ong, Pentrakoon, & Srikulkit, 2007). Laponite is a synthetic silicate clay with a smaller aspect ratio (20–30 nm in the planar dimension and ~1 nm in the thickness) and purer than montmorillonite. Well-dispersed nanocomposites were produced, and the silicate dispersion from nanometer to micrometer scales was characterized using XRD, TEM, and SEM. Furthermore, the mechanical properties of starch–montmorillonite nanocomposites with various clay concentrations were investigated. In order to understand the interactions of starch matrix with plasticizers and clay, mechanical properties of the nanocomposites were evaluated with respect to relative humidity (0–75% RH) which also provides an insight to their mechanical response to the environmental changes.

2. Experimental

2.1. Materials

Native normal corn starch (NCS, Cargill Gel® 03420) was kindly provided by Cargill Co. (USA). Sodium montmorillonite (Na+MMT) and laponite RD were kindly supplied by Southern Clay Products, Inc. (USA). Pure glycerol (99.5%) and chitosan with molecular weight 5–190 kDa (Sigma–Aldrich, USA) were used as received.

2.2. Sample preparation

2.2.1. Preparation of chitosan-modified montmorillonite

Chitosan solutions were prepared by adding 1 g of polysaccharide to 1% acetic acid (100 mL). The solutions were stirred at 60 °C for 1 h, followed by continuously stirring overnight at room temperature. The pH of the solution was adjusted to 4.9 with NaOH. A stock of well-dispersed clay suspension was prepared by adding sodium montmorillonite in water and keeping at 60 °C overnight with stirring, followed by centrifugation (117 g) for five minutes to discard any solids. Chitosan solutions were mixed with sodium montmorillonite dispersions (100 mL) at 60 °C for 1 day. The mixture was centrifuged at 2935g for 5 min. The precipitates were washed with distilled water five times and the supernatants, containing excess chitosan, were discarded. The recovered solids were then dried at 50 °C in a vacuum oven. The dried solids were ground into powders and passed through a 60 mesh screen. To disperse the chitosan-modified montmorillonite, 1.5 g of the powder were added to distilled water (98.5 mL), and the suspension was sonicated for 22 h. The supernatant was collected after sedimentation at room temperature for 30 min.

2.2.2. Synthesis of starch–clay nanocomposites

To completely gelatinize starch granules, 2 g of native normal corn starches were suspended in distilled water (200 mL) and heated at 100 °C for 30 min. An aqueous clay dispersion containing 0.02–0.14 g of clay was slowly added to the starch solution. The mixture was stirred at 90 °C for 4 h. The clay polymer mixture was precipitated by adding an equal volume of 95% ethanol and storing at 4 °C overnight. The precipitate was centrifuged for 5 min and vacuum dried at 50 °C. The nanocomposites were blended with plasticizers (nanocomposites:water:glycerol = 100:40:30) in a speed mixer and kept at room temperature for 2 days to swell the starch molecules. Films were obtained by hot-pressing at 100 °C using 30 kPa pressure for 3 min and stored at 53% RH (relative humidity).

2.3. Characterization

2.3.1. X-ray diffraction (XRD)

X-ray diffraction analysis was performed on a Scintag Theta–Theta diffractometer (USA) with CuKα radiation (λ = 1.5406 Å) operating at 45 kV and 40 mA. Scans were made in the range of 2θ = 1.5–40° by steps of 0.02° of 0.5 s each. Slits of 1° and 3° for the source and 0.3° and 0.5° for the detector, respectively were used.

2.3.2. Transmission electron microscopy (TEM)

Samples were microtomed at room temperature using a Leica Ultracut UCT Cryo ultramicrotome (Germany) equipped with a sapphire knife. Ultrathin sections (~100 nm) were picked up using a copper grid with acetone on the surface. The samples were examined using a FEI Tecnai G2 T12 Spirit TEM (USA) operating at an acceleration voltage of 120 kV.

2.3.3. Scanning electron microscopy (SEM)

Fractured surfaces prepared in liquid nitrogen were studied using LEO-1550 Keck Field Emission SEM (Germany) operating at an acceleration voltage of 3 kV. The samples were fractured under liquid nitrogen and then dried at 40–60 °C for 2 days under vacuum. The dried samples were coated with a thin Au/Pt film to avoid charging.

2.3.4. Mechanical testing

Tensile tests were carried out using a Dynamic Mechanical Analysis (DMA2980, film/fiber tension clamp, TA instruments, USA) operating under a stress/strain mode. The dumbbell-shaped specimens were conditioned at room temperature under 0, 43, or 75% RH for 3 days. Then, the samples were equilibrated at 25 °C for 5 min prior to testing. A constant deformation force of 3 N/s was used. Three different batches of nanocomposites were prepared and six specimens from each batch were tested and analyzed.

2.3.5. Moisture sorption isotherm

The samples were dried in a chamber containing drierite (W.A.HAMMOND Co. Ltd., USA) for 5 days before being equilibrated at different RH. Different saturated salt solutions (K₂CO₃, K₂CO₃, Mn(NO₃)₂, NaCl, H₂O) were prepared in the individual sealed containers to create the specific RH (23, 43, 53, 75, and 100%) at 25 °C. The moisture content was calculated from the increase in mass of the dried sample after equilibration at a given RH condition.

3. Results and discussion

3.1. Synthesis of starch–clay nanocomposites

There are two basic structures reported for polymer–clay nanocomposites. In intercalated nanocomposites the polymer chains penetrate between the silicate layers keeping the clay stacks (tactoids) virtually unchanged except for an increase in the repeat
distance (d-spacing). In exfoliated nanocomposites, the clay layers are delaminated and separated from one another by a distance greater than a few nanometers with no memory of the original clay tactoids. It is difficult to obtain either an intercalated or exfoliated structure in starch–clay nanocomposites. This is because corn starch is a neutral polymer which lacks the driving force to replace sodium ions in the interlayer spacing through an ion exchange reaction. Moreover, corn starches are high molecular weight \((1 \times 10^6 \text{ to } 10^8)\) polymers and are composed of anhydroglucose units which are connected through \(\alpha-(1 \rightarrow 4)\) and \(\alpha-(1 \rightarrow 6)\)-linkages. These rigid structures make it difficult for starches to intercalate into the galleries between the clay layers. Also starches are usually processed with plasticizers during extrusion and hot-pressing. Plasticizers and starch molecules can compete to intercalate into the clay (Pandey & Singh, 2005). The interaction between plasticizers and clays tends to be more favorable because of the smaller molecular size of plasticizers. Thus, the addition of plasticizers such as glycerol might inhibit intercalation and exfoliation of clays in the starch matrix.

To circumvent some of these problems a clay suspension containing a lower percentage of clay (0.2–1.4 wt.%) from that typically used was prepared to promote clay dispersion. A low concentration starch solution was also prepared to decrease the viscosity and increase the miscibility with clay. We hypothesized that, during precipitation, the well-dispersed clay layers can be trapped between the starch molecules. The plasticizer (glycerol) was then added into the dispersed starch–clay system to prevent as much as possible intercalation of glycerol into the clay and promote starch–clay interactions. A schematic of the synthesis is shown in Fig. 1.

The X-ray diffraction patterns of clay are shown in Fig. 2. The diffraction peak of montmorillonite at \(7.3^\circ\) \((d_{001} = 1.2 \text{ nm})\) corresponds to a clay intercalated with a monolayer of water. The chitosan-modified montmorillonite displays a peak at \(3.9^\circ\) corresponding to a \(d_{001}\) value of 22 Å. We attribute the increase of the interlayer spacing to the intercalation of chitosan into the clay (Darder et al., 2003). The laponite sample displays a wide diffraction peak at \(6.6^\circ\) corresponding to a \(d_{001}\) interlayer spacing of 1.4 nm, which corresponds to a clay intercalated with approximately two layers of water molecules.

3.2. Dispersion of silicate layers

The dispersion of clay in the nanocomposite powders and films was investigated using X-ray diffractometry and electron microscopy. Fig. 2 shows the XRD patterns of starch–clay nanocomposite films. There are no diffraction peaks in the \(2\theta\) range of \(1.5^\circ\sim10^\circ\) for all powder and film samples. The characteristic peaks corresponding to clay are not observed even for nanocomposites containing 7 wt.% clay. The loss of the \(d_{001}\) peak of the clay is attributed to the clay dispersion leading to disordered clay tactoids, and low concentration of clay agglomerates. Intercalation of glycerol into the clay results in an ordered structure with a characteristic diffraction peak at \(2\theta\) ca. \(5^\circ\) (Chen & Evans, 2005). The absence of this diffraction peak from the starch–clay nanocomposites indicates that the glycerol (plasticizer) did not intercalate into the clay layers. Intercalation of glycerol into clay can be prevented by changing the addition sequence of the components (starch, clay, and glycerol). A good dispersion of clays in starch matrix would be achieved by first preparing the nanocomposites in diluted aqueous solutions followed by plasticization.

Fig. 3a and b show the TEM micrographs of starch–clay nanocomposites containing 5% clay. Exfoliated micrographs coexisting with some clay agglomerates can be seen in the image (Fig. 3a). The clay consists of relatively small tactoids containing less than 5 layers. The clay agglomerates as shown in Fig. 3b are often internally disordered but somewhat aligned. These silicate platelets are distorted, shifted, and skewed relative to one another. The clays show some orientation and this is due to the clay alignment during compression molding. The dispersion of the silicate layers on the micro- and macroscale is equally important. Fig. 3c–f shows the SEM micrographs of the fractured surfaces. A homogeneous surface is observed for both starch and starch nanocomposite indicating that the starch granules were completely disrupted and the clay was dispersed in the polymer matrix (Fig. 3c and d). No clay aggregation can be seen even at higher magnifications (Fig. 3e and f). Low amount of clay seems to be fairly compatible and miscible with starches resulting in well-dispersed nanocomposites.
3.3. Mechanical properties

The mechanical properties of the starch film and the nanocomposites are shown in Table 1. Both starch-montmorillonite and starch-laponite nanocomposites showed an improvement in Young’s modulus and tensile strength compared to the pure matrix. The improvement of Young’s modulus for NCS.MMT and NCS.Laponite is 65% compared to the unfilled starch materials. This is a significant improvement compared to other studies on starch nanocomposites with 5 wt.% nanoparticles (Chivrac et al., 2008; Kampeerapappun et al., 2007; Lu, Weng, & Cao, 2006; Mondragon, Mancilla, & Rodriguez-Gonzalez, 2008; Pandey and Singh, 2005). The corresponding increase in tensile strength is ca. 30%. The increases in modulus and strength are not accompanied by a decrease in elongation at break. We attribute the significant increases in modulus and strength to the good dispersion of clay in the starch matrix. In contrast, the Young’s modulus and tensile strength of starch-chitosan-modified montmorillonite nanocomposites are not significantly different from the neat starch matrix. This difference from the unmodified clays is probably due to the presence of larger agglomerates in the case of chitosan modified clays which behave as larger filler particles rather than independent nanoscale fillers. The larger aggregates reflect the difficulty of dispersing the chitosan exchanged clay in starch due to the stronger interactions between chitosan and clay.

Fig. 4 shows the XRD patterns for starch–clay nanocomposites. All traces show the B-type starch structure with peaks at 2θ = 17°, 19°, 22°, and 24°. The strong diffraction peak of NCS.ChitosanMMT at 2θ ~ 20° is due to both the crystalline structure of chitosan and starch. The A-type crystalline structure of native corn starches was

Table 1
Mechanical properties of native corn starch and starch-clay nanocomposites containing 5% clay. The samples were conditioned at 43% RH before measurement.

<table>
<thead>
<tr>
<th>Sample</th>
<th>Young’s modulus (MPa)</th>
<th>Tensile strength (MPa)</th>
<th>Elongation at break (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>NCS</td>
<td>840 ± 61</td>
<td>11.82 ± 0.57</td>
<td>4.62 ± 1.06</td>
</tr>
<tr>
<td>NCS.MMT</td>
<td>1390 ± 166</td>
<td>15.46 ± 0.67</td>
<td>4.34 ± 1.15</td>
</tr>
<tr>
<td>NCS.ChitosanMMT</td>
<td>805 ± 79</td>
<td>12.46 ± 1.45</td>
<td>5.35 ± 1.17</td>
</tr>
<tr>
<td>NCS.Laponite</td>
<td>1406 ± 105</td>
<td>15.46 ± 1.41</td>
<td>3.34 ± 0.85</td>
</tr>
</tbody>
</table>
completely disrupted during the heating process. The starch molecules then recrystallize during compression molding and subsequent storage resulting in the B-type crystalline structure. The addition of clay in the starch matrix didn’t significantly influence the type of crystalline structure of starch molecules although the amount of crystallinity (area under the curve) appears somewhat lower in the nanocomposites (see below). Therefore, the crystalline structure of starch appears not to be an important factor determining the mechanical properties of starch–clay nanocomposites.

The incorporation of montmorillonite strongly enhances the stiffness of the starch–clay nanocomposites (Fig. 5). Even at 1% of clay loading, the increment of Young’s modulus is 53% compared to the unfilled starch matrix. The nanocomposite containing 5% montmorillonite possesses the highest Young’s modulus, showing an increase of 65%. A further increase in montmorillonite content to 7% brings about a decrease in Young’s modulus. We speculate that, as the clay loading increases, the clay dispersion decreases leading to lower degrees of reinforcement. Another possibility is that, as the clay content increases, the crystallinity of the polymer decreases, leading to a lower modulus. Evidence for the effect of clay on the crystallinity of starch is provided in Fig. 4. As can be seen, the crystallinity of starch (area under the curve) seems to be decreasing with increasing clay content. The decrease in crystallinity might more than compensate the reinforcement due to the clay.

Starch–clay nanocomposites with different montmorillonite contents were conditioned at different relative humidity. Changes in the environmental humidity influence the moisture content of starch–clay nanocomposites (Fig. 6). The moisture content is unchanged in the nanocomposites compared to the pure polymer for both clay loadings for RH up to 75% (10% and 25% for RH of 43 and 75%, respectively) (Fig. 6). However, at 97% RH, the nanocomposites show increased moisture content with clay addition. As expected the modulus of starch decreases with increasing RH or moisture content (Fig. 7). Water acts as a plasticizer decreasing the glass transition temperature of starch resulting in softer materials. A similar trend is seen for the nanocomposites (Fig. 7). We note that the modulus decrease with increasing RH is not as steep in the nanocomposites. This behavior suggests that the addition of clay to form nanocomposites might prevent property changes during transportation and storage in starch-based products. Starch–clay nanocomposites conditioned at 43% RH show an improvement in modulus compared to the neat starch matrix. This increase is minimized at 100% RH and 0% RH. While the water uptake is comparable for the neat starch and the nanocomposites, the values diverge at 97% RH. For example the water content for the nanocomposite containing 5% clay is 31% higher than the neat starch. We hypothesize that, at this high water content and because of the hydrophilicity of clay, the water molecules concentrate at the interface resulting in a weaker interface and subsequently lower modulus.

4. Conclusions

The main challenges for preparing high performance starch–clay nanocomposites include achieving a high level of clay dispersion in the polymeric matrix, finding suitable plasticizers, and controlling the interfacial strength between starch and clay. Well-dispersed starch–clay nanocomposites were prepared by
adding a dilute clay dispersion to a solution of starch followed by coprecipitation in ethanol. In this approach, intercalation of the plasticizer (glycerol) in clay which is required for hot-pressing is minimized. The addition of clay in starch didn’t significantly influence the type of crystalline structure of starch molecules, although the amount of crystallinity appears somewhat lower in the nanocomposites. The good dispersion of montmorillonite in the matrix increases the interaction surface area between starch molecules and clays and facilitates stress transfer to the reinforcement phase. This combination leads to improved modulus and strength without a decrease in elongation to break. The increase in modulus and strength is 65% and 30%, respectively, for the nanocomposite containing 5 wt.% clay compared to the unfilled starch materials. Further increases in clay result in deterioration in properties most likely due to poorer clay dispersion and lower polymer crystallinity. As the amount of water increases, the modulus of both pure starch and starch nanocomposites decreases, although the change is less pronounced in the nanocomposites suggesting that the addition of clay to form nanocomposites can improve the stability of starch-based products during transportation and storage.

Acknowledgements

This work was supported by the Grants NSC-96-2313-B-002-048-MY2 and NSC-96-2917-I-002-102 from the National Science Council, Taipei, Taiwan. E.P. Giannelis acknowledges the support of Award No. KUS-C1-018-02, made by King Abdullah University of Science and Technology (KAUST). We also thank Chia-Chen (Jason) Fang for the SEM measurements and helpful discussions.

References


