

SCHOOL OF OPERATIONS RESEARCH
AND INDUSTRIAL ENGINEERING
COLLEGE OF ENGINEERING
CORNELL UNIVERSITY
ITHACA, NEW YORK 14853

TECHNICAL REPORT NO. 689

March 1986

EMPTY SIMPLICES IN
EUCLIDEAN SPACE

By

Imre Bárány[†] & Zoltan Füredi[†]

[†]This work was finished when both authors were on leave from the
Mathematical Institute of the Hungarian Academy of Science, 1364 Budapest,
P.O.B. 127, HUNGARY.

EMPTY SIMPLICES IN EUCLIDEAN SPACE

By Imre Bárány[†] and
OR, Cornell University
Ithaca, NY 14853

Zoltan Füredi[†]
RUTCOR, Rutgers University
New Brunswick, NJ 08903

Abstract. Let $P = \{p_1, p_2, \dots, p_n\}$ be an independent point-set in \mathbb{R}^d (i.e., there are no $d+1$ on a hyperplane). A simplex determined by $d+1$ different points of P is called empty if it contains no point of P in its interior. Denote the number of empty simplices in P by $f_d(P)$. Katchalski and Meir pointed out that $f_d(P) \geq \binom{n-1}{d}$. Here a random construction P_n is given with $f_d(P_n) < K(d) \binom{n}{d}$, where $K(d)$ is a constant depending only on d . Several related questions are investigated.

[†]This work was finished when both authors were on leave from the Mathematical Institute of the Hungarian Academy of Science, 1364 Budapest, P.O.B. 127, HUNGARY.

1. INTRODUCTION

We call a set P of n points ($n \geq d+1$) in the d -dimensional Euclidean space \mathbb{R}^d independent if P contains no $d+1$ on a hyperplane. We call a simplex determined by $d+1$ different points of P empty if the simplex contains no point of P in its interior and denote the number of empty simplices of P by $f_d(P)$, or briefly $f(P)$.

Katchalski and Meir [KM] asked the following question: Given an independent set P of n points in \mathbb{R}^d , what can one say about the values of $f(P)$? If P consists of the vertices of a convex polytope, then clearly $f(P) = \binom{n}{d+1}$. So the interesting question is to find a lower bound for $f(P)$. Define

$$f_d(n) = \min\{f(P) : |P| = n, P \subset \mathbb{R}^d \text{ independent}\}.$$

They proved that there exists a constant $K > 0$ such that for all $n \geq 3$,

$$(1) \quad \binom{n-1}{2} \leq f_2(n) \leq Kn^2,$$

and in general, for every independent $P \subset \mathbb{R}^d$, $|P| = n$

$$(2) \quad \binom{n-1}{d} \leq f_d(P).$$

(The case $d = 1$ has no importance, obviously $f_1(P) = n-1$.) The aim of this paper is to give bounds for $f_d(n)$ and to consider several related questions.

Our paper is organized as follows. In section 2 we state the upper bound for $f_d(n)$. Section 3 contains the results about the number of empty

k-gons in the plane. In section 4 we deal with a related question: how many points are needed to pin the interiors of the empty simplices? Finally sections 5-12 contain the proofs.

A preliminary version of this work was presented in the 2nd Austrian Geometric Conference in Salzburg, 1985.

2. RANDOM CONSTRUCTIONS

Theorem 2.1. Let $A \subset \mathbb{R}^d$ be a convex, bounded set with nonempty interior. Choose the points p_1, \dots, p_n randomly and independently from A with uniform distribution. Then we have for the expected value of $f(P)$

$$E(\# \text{ empty simplices in } P) \leq K \binom{n}{d}.$$

Here K is very large:

$$K = 2 \binom{d}{2} d! d^{d^2} \pi^{\frac{d-1}{2}} [\Gamma(\frac{d}{2} + 1)]^{-1} \left(\prod_{i=1}^{d-1} \Gamma(\frac{i}{2} + 1) \right)^2 < (2d)^{2d^2}$$

but independent of the shape of A ! It is very likely that this value can be decreased, e.g., when A is a ball we can prove $K < d^{d^2}$.

Corollary 2.2. $f_d(n) < d^{d^2} \binom{n}{d}$.

The example of Katchalski and Meir gives in (1) that $K < 200$. Corollary 2.2 yields $K \leq 16$. The following random construction gives a much better upper bound. Let I_1, I_2, \dots, I_n be parallel unit intervals on the plane, $I_i = \{(x, y) : x = i, 0 \leq y \leq 1\}$. Choose the point p_i randomly from I_i with uniform distribution. Let $P_n = \{p_1, \dots, p_n\}$. Then

Theorem 2.3. $E(f_2(P_n)) = 2n^2 + O(n \log n)$.

On the other hand we have

Theorem 2.4. Let $P \subset \mathbb{R}^2$ be an independent point-set with $|P| = n$.

Then

$$n^2 - O(n \log n) \leq f_2(P).$$

We have to remark here that G. Purdy [Pu] announced $f_2(n) = O(n^2)$ without proof. H. Harborth [Ha] pointed out that $f_2(n) = n^2 - 5n + 7$ for $n = 3, 4, 5, 6, 7, 8, 9$ but not for $n = 10$ because $f_2(10) = 58$.

3. EMPTY POLYGONS ON THE PLANE

More than 50 years ago Erdős and Szekeres [ES] proved that for every integer $k \geq 3$ there exists an integer $n(k)$ with the following property: If $P \subset \mathbb{R}^2$, $|P| \geq n(k)$ and P is independent, then there exists a subset $A \subset P$ such that $|A| = k$ and $\text{conv } A$ is a convex k -gon.

We call a k -subset A of P empty if $\text{conv } A$ contains no point of P in its interior. Erdős [Er] asked whether the following sharpening of the Erdős-Szekeres theorem is true. Is there an $N(k)$ such that if $|P| \geq N(k)$, $P \subset \mathbb{R}^2$ independent, then there exists an empty k -gon with vertex set $A \subset P$. He pointed out that $N(4) = 5$ ($= n(4)$) and [Ha] proved that $N(5) = 10$ (while $n(5) = 9$). A proof of the existence of $N(k)$ was presented at a combinatorial conference in 1978 but it turned out to be wrong. This is no wonder because Horton [Ho] proved that $N(7)$ does not exist. The question about the existence of $N(6)$ is still open; a recent example of Fabella and O'Rourke [FO] shows twenty-two independent points in the plane without an empty hexagon.

Example 3.1. (Horton [Ho]). This is a squashed version of the well-known van der Corput sequence. We will define by induction a pointset $Q(n)$ where n is a power of 2. In $Q(n)$ each point has positive integer coordinates and the set of the first coordinates is just $\{1, 2, \dots, n\}$. To start with let $Q(1) = \{(1, 1)\}$ and $Q(2) = \{(1, 1), (2, 2)\}$. When $Q(n)$ is defined, set

$$Q(2n) = \{(2x-1, y) : (x, y) \in Q(n)\} \cup \{(2x, y+d_n) : (x, y) \in Q(n)\}$$

where d_n is a large number, e.g., $d_n = 3^n$ will do.

Now denote by $f^k(P)$ the number of empty k -gons in P and let $f^k(n) = \min\{f^k(P) : P \subset \mathbb{R}^2 \text{ independent, } |P| = n\}$. So $f^3(n)$ is just $f_2(n)$ defined in the previous section. Though $f^k(P)$ can be as large as $\binom{n}{k}$, Example 3.1 shows the following estimations.

Theorem 3.2. When n is a power of 2, then

$$(3) \quad f^3(n) \leq 2n^2$$

$$(4) \quad f^4(n) \leq 3n^2$$

$$(5) \quad f^5(n) \leq 2n^2$$

$$(6) \quad f^6(n) \leq \frac{1}{2} n^2$$

$$(7) \quad f^k(n) = 0 \quad \text{for } k \geq 7.$$

We remark that the random example of Theorem 2.3 gives a quadratic upper bound on $f^k(n)$, too. The only lower bounds we can prove are

Theorem 3.3.

$$(8) \quad f^4(n) \geq \frac{1}{4} n^2 - O(n), \quad f^5(n) \geq \lfloor \frac{n}{10} \rfloor.$$

The second inequality here is implied by $N(5) = 10$.

4. THE COVERING NUMBER OF SIMPLICES

Let P be an independent set of points in \mathbb{R}^d . We say that $Q \subset \mathbb{R}^d$ is a cover of the simplices of P if for every $(d+1)$ -tuple $\{p_1, \dots, p_{d+1}\} \subset P$ there exists a $q \in Q$ with $q \in \text{int conv}\{p_1, \dots, p_{d+1}\}$. Denote by $g(P)$ the minimum cardinality of a cover and let $g_d(n) = \max\{g(P) : P \subset \mathbb{R}^d, |P| = n\}$. Katchalsky and Meir [KM] proved that $g_2(n) = 2n-5$ and $g_3(n) \leq (n-1)^2$. Actually they proved

$$g_2(P) = 2|P| - (\# \text{ vertices of conv } P) - 2.$$

Though such an exact result seems to be elusive in higher dimensions, we can determine the asymptotic value of $g_d(n)$.

Theorem 4.1.

$$g_d(n) = \begin{cases} 2\binom{n}{d/2} + O(n^{d/2-1}) & \text{if } d \text{ is even} \\ \binom{n}{\lfloor d/2 \rfloor} + O(n^{\lfloor d/2 \rfloor}) & \text{if } d \text{ is odd} \end{cases}$$

holds for any fixed d when $n \rightarrow \infty$.

Corollary 4.2. $g_3(n) = \binom{n}{2} + O(n)$.

The constructions and proofs will be given in section 11.

The high value of $g_d(n)$ is a bit surprising (at least for the authors), because it was proved in [BF] and [Ba] that there exists a positive constant $c(d)$ ($c(2) = 1/27$, $c(d) > d^{-d}$) with the following property. For any pointset $P \subset \mathbb{R}^d$, $|P| = n$ there exists a point contained in at least $c(d)\binom{n}{d+1}$ simplices of P .

5. THE DISTRIBUTION OF VOLUMES OF RANDOM SIMPLICES

Consider a bounded convex set $A \subset \mathbb{R}^d$ with $\text{Vol}(A) > 0$. Choose randomly and independently the points p_1, \dots, p_{d+1} from A with uniform distribution.

Lemma 5.1. There exists a $C = C(d) > 0$ such that for every $0 < v < 1$, $h > 0$

$$\text{Prob}(v < \text{Vol}(p_1, \dots, p_{d+1})/\text{Vol}(A) < v+h) < Ch$$

where $\text{Vol}(p_1, \dots, p_{d+1})$ is a shorthand for $\text{Vol}(\text{conv}\{p_1, \dots, p_{d+1}\})$.

Proof. A theorem of Fritz John [Jo] says that there exist two concentric and homothetic ellipsoids E_1 and E_2 with $E_1 \subset A \subset E_2$ and $E_2 \subset dE_1$. As an affine transformation does not change the value of $\text{Vol}(p_1, \dots, p_{d+1})/\text{Vol}(A)$ we may assume that E_1 and E_2 are balls of radius r_1 and r_2 and $r_2 \leq dr_1$. Define w_d to be the volume of the d -dimensional unit ball, i.e., $w_d = \pi^{d/2}(\Gamma(\frac{d}{2} + 1))^{-1}$. Let $0 < t < t+a$ and denote the Euclidean distance between $\text{aff}(p_1, \dots, p_i)$ and p_{i+1} by D_i . Then

$$\text{Prob}(t < D_i < t+a) \leq \frac{w_{i-1} r_2^{i-1}}{\text{Vol}(A)} (w_{d+1-i} (t+a)^{d+1-i} - w_{d+1-i} t^{d+1-i})$$

holds for every $i = 1, \dots, d$; the right hand side is the volume of the difference of two cylinders. Hence we have

$$\begin{aligned} \text{Prob}(t < D_i < t+a) &\leq \frac{a}{r_2} \left(\frac{t}{r_2}\right)^{d-i} \frac{(d+1-i)w_{d+1-i}w_{i-1}}{w_d} \frac{w_d r_2^d}{\text{vol}(A)} + O\left(\left(\frac{a}{r_2}\right)^2\right) \\ &< \frac{a}{r_2} \left(\frac{t}{r_2}\right)^{d-i} 2^d d^{d+1} \left(1 + O\left(\frac{a}{r_2}\right)\right). \end{aligned}$$

The choice of p_i and p_j is independent so we have

$$\begin{aligned} (9) \quad \text{Prob}(t_i < D_i < t_i+a \text{ holds for } i = 1, \dots, d) \\ \leq \left(\frac{a}{r_2}\right)^d \left(\frac{t_1}{r_2}\right)^{d-1} \left(\frac{t_2}{r_2}\right)^{d-2} \cdot \dots \cdot \left(\frac{t_{d-1}}{r_2}\right) 2^{d^2} d^{d^2+d} \left(1 + O\left(\frac{a}{r_2}\right)\right). \end{aligned}$$

Now $\text{Vol}(p_1, \dots, p_{d+1}) = (d!)^{-1} D_1 \cdot D_2 \cdot \dots \cdot D_d$. Hence (9) yields

$$\begin{aligned} (10) \quad \text{Prob}(v < \text{Vol}(p_1, \dots, p_{d+1})/\text{Vol}(A) < v+h) \\ \leq \int_{x_1=0}^2 \dots \int_{x_d=0}^2 x_1^{d-1} x_2^{d-2} \dots x_{d-1} 2^{d^2} d^{d^2+d} dx_1 dx_2 \dots dx_d \end{aligned}$$

where the integration is taken for (x_1, \dots, x_d) with

$v \cdot \text{Vol}(A) < r_2^d x_1 \dots x_d (d!)^{-1} < (v+h) \text{Vol}(A)$. Because $0 \leq x_d - d! v r_2^{-d} \cdot \text{Vol} A / (x_1 \dots x_{d-1}) \leq h d! (\text{Vol} A / r_2^d) / (x_1 \dots x_{d-1})$ we have $\int dx_d = h d! (\text{Vol} A / r_2^d) / (x_1 \dots x_{d-1})$. Hence the right-hand-side of (10) equals

$$\begin{aligned} &\underbrace{(2^{d^2} d^{d^2+d}) d! \frac{\text{Vol} A}{r_2^d} h}_{C_0} \int_{0 \leq x_1 \leq 2} \dots \int_{0 \leq x_{d-1} \leq 2} x_1^{d-2} \dots x_{d-2}^1 dx_1 \dots dx_{d-1} \\ &= 2^{\binom{d}{2}} / (d-1)! \cdot C_0 h < (2d)^{2d^2} h. \end{aligned}$$

6. PROOF OF THEOREM 2.1

For given p_1, \dots, p_{d+1} choose the points p_{d+2}, \dots, p_n randomly. Define $\mu(v) = \text{Prob}(\text{Vol}(p_1, \dots, p_{d+1}) < v)$. Obviously we have

$$\begin{aligned} \text{Prob}(p_1, \dots, p_{d+1} \text{ is empty}) &= \int_{0 \leq v \leq 1} (1-v)^{n-d-1} d\mu(v) \\ &\leq \int_{0 \leq v \leq 1} (1-v)^{n-d-1} C dv = C/(n-d). \end{aligned}$$

Hence

$$E(f(P)) \leq \binom{n}{d+1} \frac{C}{n-d} = \frac{C}{d+1} \binom{n}{d}.$$

7. PROOF OF THEOREM 2.3

Consider the points $A = (i, x)$, $B = (i+a, y)$, and $C = (i+k, z)$ where $k = a+b \geq 3$. Let $m = |y-x+(a/k)(z-x)|$, i.e., the distance between B and $I_{i+a} \cap [AC]$. Choose randomly a point p_j on I_j , ($i < j < i+k$, $j \neq i+a$). Then

$\text{Prob}(ABC \text{ is an empty triangle})$

$$\begin{aligned} &= (1 - \frac{m}{a})(1 - 2\frac{m}{a}) \dots (1 - (a-1)\frac{m}{a})(1 - (b-1)\frac{m}{b}) \dots (1 - \frac{m}{b}) \\ &\leq \exp[-\frac{m}{a} - 2\frac{m}{a} - \dots - (a-1)\frac{m}{a} - (b-1)\frac{m}{b} - \dots - 2\frac{m}{b} - \frac{m}{b}] \\ &= \exp(-(\frac{a}{2})\frac{m}{a} - (\frac{b}{2})\frac{m}{b}) = \exp(-(k-2)m/2). \end{aligned}$$

Now choose the points p_i ($1 \leq i \leq n$) randomly. We obtain

$$\begin{aligned} \text{Prob}(p_i p_{i+a} p_{i+k} \text{ is empty}) &\leq \int_{0 < x < 1} \int_{0 < y < 1} \int_{0 < z < 1} \exp(-(k-2)m/2) dx dy dz \\ &\leq 2 \int_{0 \leq m \leq 1/2} \exp(-(k-2)m/2) dm \leq 4/(k-2). \end{aligned}$$

Hence we have

$$\begin{aligned}
E(f(P)) &\leq n-1 + \sum_{1 \leq i \leq n} \sum_{3 \leq k \leq n-i} \sum_{1 < a < k} 4/(k-2) \\
&= n-1 + \sum_{3 \leq k \leq n} (n-k+1) \frac{4(k-1)}{k-2} = n-1 + \sum_{3 \leq k \leq n} (n-k+1)4/(k-2) \\
&\quad + 4 \sum_{3 \leq k \leq n} (n-k+1) = O(n \log n) + 2n^2.
\end{aligned}$$

8. A LEMMA ON GRAPHS

Lemma 8.1. Let G be a graph on the vertices $\{1, 2, \dots, n\}$. Suppose that there exist no four vertices $i < j < k < \ell$ such that (i, k) , (i, ℓ) , and $(j, \ell) \in E(G)$. Then

$$(11) \quad |E(G)| \leq 3n \lceil \log_2 n \rceil.$$

Proof: Let $E(G) = E(G_1) \cup \dots \cup E(G_i) \cup \dots$ where $1 \leq i \leq \lceil \log_2 n \rceil$ and $E(G_i) = \{(u, v) : 1 \leq u \leq v \leq n, 2^{i-1} \leq v-u < 2^i, (u, v) \in E(G)\}$.

Split $E(G_i)$ into three parts U , D and T :

$$\begin{aligned}
U = \{ &(u, v) : (u, v) \in E(G_i) \text{ and } \exists w \text{ such that } u < w < v \\
&\text{and } (w, v) \in E(G_i) \}
\end{aligned}$$

$$\begin{aligned}
D = \{ &(u, v) : (u, v) \in E(G_i) \text{ and } \exists w \text{ such that } u < w < v \\
&\text{and } (u, w) \in E(G_i) \}
\end{aligned}$$

and $T = E(G_i) - U - D$.

Clearly $U \cap D = \phi$, U , D and T do not contain a circuit. Hence their cardinality is at most $n-1$.

We note that (11) can be improved to $\lceil n \log_2 n \rceil$, and there exists a graph G^n with $|E(G)| \geq n(\log_2 n - 2)$ which fulfills the constraints of Lemma 8.1.

9. PROOF OF THEOREM 2.4

Consider the points $p_1, \dots, p_n \in \mathbb{R}^2$ and an arbitrary line $e \subset \mathbb{R}^2$. Let q_i be the projection of p_i on e . We can choose e such that $q_i \neq q_j$. We can suppose that q_i lays between q_{i-1} and q_{i+1} (eventually reordering the indices.)

Let G_u and G_d be two graphs on vertices $\{q_1, \dots, q_n\}$ such that

$$E(G_u) = \{q_i q_j : \text{every } p_k \text{ for } i < k < j \text{ is below the } [p_i p_j] \text{ and} \\ \text{only (at most) one } p_i p_k p_j \text{ triangle is empty}\}$$

$$E(G_d) = \{(q_i q_j) : \text{every } p_k \text{ for } i < k < j \text{ is above the } [p_i p_j] \text{ and} \\ \text{only (at most) one of the triangles } p_i p_k p_j \text{ is empty}\}.$$

It is easy to see that G_u and G_d fulfills the constraints of Lemma 8.1. Indeed, suppose on contrary $(q_i q_k), (q_i q_\ell), (q_j q_\ell) \in E(G_u)$. Then one can find an j' , $i < j' \leq j$ and a k' , $k \leq k' < \ell$ such that the triangles $p_i p_{j'}, p_\ell$ and $p_i p_{k'}, p_\ell$ are empty, contradicting $p_i p_\ell \in E(G_u)$. Hence

$$\begin{aligned} f(P) &= \sum_{1 \leq i < j \leq n} \#(\text{empty triangles with vertices } p_i p_k p_j, \ i < k < j) \\ &\geq 2 \binom{n}{2} - |E(G_u)| - |E(G_d)| \\ &= n^2 - O(n \log n). \end{aligned}$$

10. PROOF OF 3.2

Let P be a pointset on the plane, consider $u_1, u_2 \in P$ with $u_1 = (x_1, y_1)$, $u_2 = (x_2, y_2)$. We say that the line segment $[u_1, u_2]$ connecting u_1 and u_2 is empty from below if the interior of the "infinite triangle" with vertices $u_1, u_2, (\frac{x_1+x_2}{2}, -\infty)$ contains no point of P . Emptiness from above is defined analogously. Denote by $h_2^-(P)$ and $h_2^+(P)$, respectively the number of segments in P empty from below and above.

Consider $Q(2n)$ from Example 3.1. $Q(2n)$ splits in a natural way into two parts: $Q^+(n)$ and $Q^-(n)$ where $Q^+(n) = \{(2x, y+d_n) : (x, y) \in Q(n)\}$ and $Q^-(n) = \{(2x-1, y) : (x, y) \in Q(n)\}$. The next two statements are obvious.

(12) If $u_1, u_2 \in Q(2n)$ and $[u_1, u_2]$ is empty from below in $Q(2n)$ then either $u_1, u_2 \in Q^-(n)$ or $u_1 \in Q^-(n)$ and $u_2 \in Q^+(n)$ and $|x_1 - x_2| = 1$ or $u_1 \in Q^+(n)$ and $u_2 \in Q^-(n)$ and $|x_1 - x_2| = 1$.

$$(13) \quad h_2^-(Q(2n)) = h_2^-(Q^-(n)) + 2n-1.$$

Using induction (13) implies that

$$(14) \quad h_2^-(Q(n)) < 2n.$$

$Q(n)$ is centrally symmetric and so

$$(15) \quad h_2^+(Q(n)) < 2n.$$

Now call a triple $(u_1, u_2, u_3) \in Q(n)$ empty from below all the three line segments $[u_1 u_2], [u_1 u_3], [u_2 u_3]$ are empty from below and denote by $h_3^-(Q(n))$ the number of triples of $Q(n)$, that are empty from below. Clearly,

$$h_3^-(Q(2n)) = h_3^-(Q^-(n)) + n - 1$$

hence by induction

$$h_3^-(Q(n)) < n.$$

To prove (3), (4), ..., (7) we can use induction and the facts established about h_2^+, h_2^-, h_3^+ and h_3^- . For instance, we can estimate $f^4(Q(2n))$ in the following way:

$$\begin{aligned} f^4(Q(2n)) &= f^4(Q^+(n)) + h_3^-(Q^+(n))n \\ &\quad + h_2^-(Q^+(n))h_2^+(Q^-(n)) + nh_3^+(Q^-(n)) + f^4(Q^-(n)) \\ &< 2f^4(Q(n)) + 6n^2, \end{aligned}$$

which shows that $f^4(Q(2n)) \leq 12n^2$.

The proofs of (3), (5), (6) are similar.

11. PROOF OF 3.3

Consider an arbitrary n -element set P on the plane, and assume no three points of P are on a line.

Lemma 11.1. Suppose $u, v, a, b \in P$ and the segments $[u, v]$ and $[ab]$ intersect (in an interior point). Then there exist $a', b' \in P$ such that $uva'b'$ is an empty quadrilateral with a diagonal $[uv]$.

Proof. Trivial: if the uva triangle is empty then take $a' = a$ if not let $a' \in P$ be the nearest to $[uv]$ point from the interior of the triangle uva .

Now define a graph G with vertex set P . A pair $\{u,v\} \subset P$ is an edge of G if $[uv]$ is not a diagonal of any convex empty quadrilateral of P . By the above Lemma G must be a planar graph hence the number of its edges is at most $3n-6$. All other pairs are contained in an empty quadrilateral hence $f^4(P) \geq \frac{1}{2} \left(\binom{n}{2} - (3n-6) \right)$.

12. Proof of 4.1.

First we give the upper bound. Our main tool is Radon's theorem [DGK] which we need in the following form.

Lemma 12.1. Let $x_1, \dots, x_{d+1} \in \mathbb{R}^d$ be the vertices of a simplex S and let L be a line not parallel to any one of the facets of S . Then there exists a line L' parallel to L such that $L' \cap S = [ab]$ and $a \in \text{relint } F_a$ and $b \in \text{relint } F_b$ with F_a and F_b disjoint faces of S .

Proof. Consider the projection of x_1, \dots, x_{d+1} onto the subspace orthogonal to L and apply Radon's theorem in that subspace.

We use the lemma in the following way. Pick a line L not parallel to any affine subspace spanned by at most d points of P . Choose $\epsilon > 0$ small enough and let v be a vector parallel to L and $\|v\| = \epsilon$. We define a covering system Q as follows:

$$Q = \left\{ v + \frac{1}{t} \sum_{x \in X} x : t \leq \frac{d+1}{2}, X \subset P, |X| = t \right\}$$

when d is odd, and

$$Q = \left\{ \delta v + \frac{1}{t} \sum_{x \in X} x : \delta = \pm 1, t \leq \frac{d}{2}, X \subset P, |X| = t \right\}.$$

when d is even.

Now we give a construction for the lower bound. Let $p(i) = (i, i^2, \dots, i^d) \in \mathbb{R}^d$, $i = 1, \dots, n$ and set $P = \{p(i) : i = 1, \dots, n\}$. P is the set of vertices of the cyclic polytope [Mc,Gr]. We will use certain properties of the cyclic polytope without explanation. Consider first the case when d is odd. Define

$$\mathcal{F} = \left\{ \{i_1, \dots, i_{d+1}\} \subset \{1, \dots, n\} \mid i_\alpha < i_{\alpha+1} \text{ for } 1 \leq \alpha \leq d \text{ and } i_{2\beta} = i_{2\beta-1} + 1 \text{ for } 1 \leq \beta \leq \frac{d+1}{2} \right\}.$$

So the members of the family \mathcal{F} are unions of sequences of $\{1, 2, \dots, n\}$ of even length. Clearly

$$|\mathcal{F}| = \binom{n}{\frac{d+1}{2}} - O\left[n^{\frac{d-1}{2}}\right].$$

We claim that the simplices $\text{conv}\{p(i) : i \in F\}$, $F \in \mathcal{F}$ are pairwise disjoint. Let $F_1, F_2 \in \mathcal{F}$ with $F_1 = \{i_1, \dots, i_{d+1}\}$, $F_2 = \{j_1, \dots, j_{d+1}\}$ and let k be the minimal element of the symmetric difference $F_1 \Delta F_2$, $k \in F_1$, say. Clearly $k = i_{2\alpha-1}$, i.e., its order in F_1 is odd.

Consider the hyperplane H passing through the vertices

$\{p(i) : i \in F_1 - \{k\}\}$. We claim that H separates $\text{conv } F_1$ and $\text{conv } F_2$.

The equation of H is

$$H(x_1, x_2, \dots, x_d) = \det \begin{vmatrix} 1 & x_1 & & x_d \\ 1 & i_1 & & i_1^d \\ 1 & \vdots & & \vdots \\ 1 & i_{d+1} & \dots & i_{d+1}^d \end{vmatrix} = 0$$

where the row corresponding to k is missing. Set $f(t) = H(t, t^2, \dots, t^d)$, this is a polynomial in t of degree d . Then $f(i_s) = 0$ for $i_s \neq k$, i.e., its roots are exactly $\{i_1, \dots, i_{d+1}\} \setminus \{k\}$. Let, say $f(k) > 0$. Then the sign of $f(t)$ is negative for every integer $t > k$ except for those with $t = i_s$. So $H(x) \geq 0$ for $x \in \{p(i) : i \in F_1\}$ and $H(x) \leq 0$ for $x \in \{p(i) : i \in F_2\}$. Thus we obtained $|\mathcal{F}|$ pairwise disjoint simplices. To cover them requires at least that many points so $g_d(n) \geq |\mathcal{F}|$.

The case d is even is similar. We define

$$Q = \{p(i) : i = 1, 2, \dots, n-2\} \cup \{v, -v\}$$

where v is in general position with respect to $p(i)$ and $\|v\|$ is large enough. This means that each facet of $\Pi = \text{conv}\{p(i) : i = 1, \dots, n-2\}$ is visible from either v or $-v$. As it is well-known [Gr, Mc], Π has $\binom{n}{d/2} + O(n^{d/2-1})$ facets F_1, \dots, F_s . Now in the following set of simplices no two have a common interior point:

$$\begin{aligned} & \{\text{conv}(F_i \cup \{v\}) : F_i \text{ is visible from } v\} \\ & \cup \{\text{conv}(F_i \cup \{-v\}) : F_i \text{ is visible from } -v\} \\ & \cup \{\text{conv}\{p(i_1), \dots, p(i_{d+1})\} : 1 \leq i_1 < i_2 < \dots < i_d < i_{d+1} = n-2, \\ & \quad i_{2\beta} = i_{2\beta-1} + 1 \text{ for } \beta = 1, \dots, d/2\}. \end{aligned}$$

This set of simplices shows that the simplices of Q cannot be covered by less than $2\binom{n}{d/2} + O(n^{d/2-1})$ points. Details are left to the reader.

REFERENCES

- [Ba] I. Bárány, A generalization of Carathéodory's theorem, Discrete Math., 40 (1982), 141-152.
- [BF] E. Boros, Z. Füredi, The number of triangles covering the center of an n -set, Geometriae Dedicata 17 (1984), 69-77.
- [DGK] L. Danzer, B. Grünbaum, V. Klee, Helly's theorem and its relatives, Proc. Sympos. Pure. Math., Vol. 7, AMS, Providence, R.I. 1963, 101-108.
- [Er] P. Erdős, On some problems of elementary and combinatorial geometry, Ann. Mat. Pura. Appl. (4) 103 (1975) 99-108.
- [ES] P. Erdős, G. Szekeres, A combinatorial problem in geometry, Compositio Math., 2 (1935), 463-470.
- [FO] G. Fabella, J. O'Rourke, Twenty-two points with no empty hexagon (1986, manuscript).
- [Gr] B. Grünbaum, Convex polytopes, N.Y. 1967.
- [Ha] H. Harborth, Konvexe Fünfecke in ebenen Punktmengen, Elem. Math., 33 (1978), 116-118.
- [Ho] J.D. Horton, Sets with no empty convex 7-gons, Canadian Math. Bull. 26 (1983), 482-484.
- [Jo] F. John, Extremum problems with inequalities as subsidiary conditions, Courant Ann. Volume, Interscience, N.Y., 1948, 187-204.
- [KM] M. Katchalski, A. Meir, On empty triangles determined by points in the plane, Acta. Math. Hungar. (to appear).
- [Mc] P. McMullen, The maximum number of faces of a convex polytope, Mathematika 17 (1970), 179-184.
- [Pu] G.D. Purdy, The minimum number of empty triangles, AMS Abstract 3 (1982), 318.